

# penrose academy



13402 North Scottsdale Rd, Suite B-160 | Scottsdale, AZ 85254 | penrose.edu

Penrose Academy 2022 Annual Safety and Security Report	
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# Introduction

# Matt Meduna

Owner & Chair

# Nancy Meduna

Owner & CEO



# Affiliations

### Memberships

Pivot Point International Member School Greater Phoenix Chamber of Commerce American Association of Cosmetology Schools

#### Licensing and Certification

The Arizona Board of Cosmetology (AZBOC) Arizona Department of Health Services (ADHS)

#### Accreditation

Penrose Academy is accredited by the Commission of the Council on Occupational Education (COE)

# **Annual Security Reporting**

The Clery Act or The Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (20 USC § 1092(f)) is the federal law that requires colleges and universities across the United States to disclose an Annual Safety and Security Report **(ASSR)** to include information about crime on and around campus.

The ASSR report provides crime statistics for the prior three years, policy statements regarding various safety and security measures, alcohol and drug use, campus crime prevention descriptions, the reporting of crimes, missing students, emergency notifications, preventing/reporting sexual assault, procedures to be followed in the investigation and prosecution of alleged sex offenses.

On March 7, 2013, the Violence Against Women Act **(VAWA)** was signed into law. VAWA included amendments to the Clery Act that require institutions to disclose statistics, policies, and programs related to dating violence, domestic violence, sexual assault, and stalking.

# Preparation

To comply with the Clery Act, Penrose Academy's Annual Safety and Security Report are prepared with input from various departments, including Operations, Students Services, Education, Compliance, and Guest Services.

# Disclosure

The Campus Safety Survey Administrator (CSSA) obtains crime statistics from the Phoenix Police Department for the Penrose Academy areas. In addition, crime and fire statistics for the prior three years are disclosed in the ASSR. Additionally, crime and fire statistics are reported to the U.S. Department of Education via the online data collection portal at <u>surveys.ope.ed.gov/campussafety</u>.

Penrose Academy discloses and publishes the ASSR to all students (current and prospective) and employees **by October 1** of each year and published on the Penrose Academy website **penrose.edu** and the Penrose app.

Each year, a notification is sent to all students (current and prospective) and employees via the Penrose app, giving the website address to access this updated report. Additionally, the ASSR is published to Gusto, Penrose Academy's third-party payroll processing system. Gusto Notifies employees and requires employees to review and acknowledge the ASSR digitally.

A complete copy of this report is also available online at <u>penrose.edu</u>. Campus crime, arrest, and referral statistics include those reported to the Phoenix Police Department and the Penrose Academy designated campus officials.

#### New Employee & Student Training Employee Orientation

Safety, Security, and emergency policies and procedures are part of Penrose Academy's new

hire orientation and onboarding procedure. Additionally, all new employees attended Title IX, Clery, VAWA, and Code of Conduct training.

#### **Student Orientation**

Students receive Title IX, VAWA, Clery, and Code of Conduct Training upon enrollment, as part of Penrose Academy student orientation. Additionally, students are informed of services offered by Penrose Academy, including a copy of the student catalog, the student code of conduct policy, information on obtaining the Annual Security Report, and how and where to report incidents.

# **Campus Contact Information**

#### Nikki Parcells

President (480) 222-9540, ext. 202

**Bridget O'Brien** Senior Director of Admissions & Financial Aid (480) 222-9540, ext. 213

#### Andria Young

Director of Student Services & Deputy Title IX Coordinator (480) 222-9540, ext. 208

Candice Healey Director of Medical Services (480) 222-9540, ext. 218

Cheri Parcells Director of Operations (480) 222-9540, ext. 101

Jojo Brown Director of Guest Services (480) 222-9540, ext. 225

Missy Conti Director of Education & Title IX Coordinator (480) 222-9540, ext. 204

Haleigh Trout Assistant Director of Education (480) 222-9540

#### Steffi Toth

Assistant Education Manager & Deputy Title IX Coordinator (480) 222-9540

# Safety & Security

Penrose Academy identifies that crime prevention is the responsibility of the Academy and each person working, attending school, or visiting the facility. Crime prevention is best served by the cautious surveillance of the properties and reporting any suspicious behavior. Please note that Penrose Academy has one campus at 13402 N. Scottsdale Road, Suite B160, Scottsdale, AZ 85254, with no campus housing, satellite locations, or public property immediately adjacent to or accessible from our campus.

#### **Campus Law Enforcement**

Penrose Academy does not have a campus police staff, nor do the campus security officials have any arresting authority. Please note that Penrose Academy has one campus at 13402 N. Scottsdale Road, Suite B160, Scottsdale, AZ 85254, with no campus housing, satellite locations, or any public property immediately adjacent to or accessible from our campus.

#### **Relationship with Local Law Enforcement**

Local law enforcement has the arresting authority on Penrose Academy's Campus. Penrose Academy complies with all local, state, and federal laws. When student conduct or behavior adversely impacts Penrose Academy's community, the educational programs are jeopardized, and all are at risk. Behavior that is in violation of local, state, or federal law will not be tolerated. Penrose Academy does not protect from prosecution by law enforcement agencies in these cases.

Upon written request, Penrose Academy will disclose to the alleged victim of any crime of violence or non-forcible sex offense the results of any disciplinary hearing conducted by Penrose Academy against a student who is the alleged perpetrator of such crime or offense.

# Campus Security Authorities (CSAs)

Penrose Academy has established policies to ensure the safety and well-being of this Academy and to respond promptly and accurately to actions that are contrary to our purpose and mission.

Penrose Academy's Campus Security Authorities **(CSAs)** report Clery Act crimes, which they directly witness or learn about during their responsibilities, to the Penrose Academy President, regardless of whether the alleged victim chooses to file a report with law enforcement or to press charges.

Penrose Academy's campus security authorities **(CSAs)** are Missy Conti, Director of Education; Andria Young, Director of Student Services; and Nikki Parcells, President.

### CSA Contact Information Andria Young

(480) 222-9540, ext. 208 andria@penrose.edu compliance@penrose.edu

Missy Conti (480) 222-9540, ext. 20 <u>missy@penrose.edu</u> <u>compliance@penrose.edu</u>

Nikki Parcells (480) 222-9540, ext. 202 nikki@penrose.edu compliance@penrose.edu

# **Reporting Crime**

The campus security authorities do not have arresting authority. For emergencies, dial 9-1-1. In addition to the Academy's CSAs, Department Heads, Managers, and Faculty on campus are responsible for reporting suspicious behavior to CSAs by submitting an incident form as soon as practicably possible. CSAs will assess the information provided in the report form to determine whether an immediate response is warranted, if a timely warning should be issued, and the appropriate next steps. Every crime that is reported to the campus security authorities will be investigated. However, please note that due to the public nature of police reports, Penrose Academy cannot ensure the complete confidentiality of any crime report.

In non-emergency situations, contact the campus security authorities.

Students, faculty, staff, guests, and community members are encouraged to report all crimes and public safety-related incidents to the campus security staff. In addition, new students and employees are informed of such procedures, crime prevention, and safety tips during the new hire and student orientations.

# **Reporting an Incident**

Witnesses should report incidents of crime occurring on Academy property to the Campus Security Authorities. If you are the victim or witness to a crime, you are responsible for reporting it immediately to a Campus Security Authority (CSA) and the local police.

Incidents can be reported to Campus Safety and Security Team members by submitting an incident report directly to the student services office, via email at <u>Student Services</u>, or by calling (480)222-9540.

# **Reporting An Emergency**

Students, faculty, and staff should never independently try to stop a criminal in the act of a crime. Academy members can report any emergency directly by calling 9-1-1 and contacting the local police department. Academy members who are victims of a crime and do not want to pursue action with the criminal justice system are encouraged to still provide a confidential and anonymous report to one of the Campus Security Authorities. Crime victims can receive resources, referral information, and options for other actions. Any reports provided to CSAs are not strictly confidential, as CSAs must report the date, time, location, and all relevant information needed to classify the offense for statistical purposes.

CSAs are instructed never to release personally identifiable information, so all reporting individuals remain anonymous.



# **Emergency Contact & Other Important Numbers**

The following is a list of emergency, crisis, and student resource information:

Emergencies	911	
Non-Emergencies	(602)-262-6151	Phoenix Police
Silent Witness	(480)-Witness	Phoenix Police
Campus Security Authorities Andria Young, Missy Conti, Nikki Parcells	(480)-222.9540 Ext. 208, 204, 202	Penrose Compliance
Title IX Coordinator & Deputy Coordinators	(480)-222-9540, ext. 204	Penrose Compliance
Maricopa County Rape and Domestic Crisis Centers	(800)-565-4673	<u>Maricopa-county-resources</u>
Arizona Coalition to End Sexual and Domestic Violence	(800)-782-6400	acesdv.org
Child Abuse Hotline	(800)-422-4453	<u>childhelp.org</u>
Alcoholics Anonymous		www.aa.org
Narcotics Anonymous	(818)-773-9999, ext. 133	www.na.org
Marijuana Anonymous	(800)-766-6779	<u>www.marijuana-</u> anonymous.org
Drug/ Alcohol Additional Resources	(877)-931-9142	<u>communitybridgesaz.org</u>
Suicide Prevention Lifeline	(800)-273-8255	suicidepreventionlifeline.org
Mental Health America of Arizona	(602)-576-4828	www.mhaarizona.org
Center for Prevention of Abuse & Violence	(602)-254-6400	www.casacares.org
A New Beginning (eating disorders)	(480)-941-4247	www.anewbeginning.com
Homeless Shelter	(602)-263-7654	www.homewardboundaz.org
Apply for Food stamps	(602)-417-4000	azahcccs.gov
Veterans Crisis Line	(800)-273-8255	www.veteranscrisisline.net

#### **Emergency Contact Information**

Students, faculty, and staff are responsible for having current and accurate information on file with the Academy to ensure they receive timely warning notifications.

Incorrect contact information may cause a message not to be sent due to technical malfunctions; human or technical error; lost, delayed, or garbled data; transmissions, omission, interruption, deletion, defect, or failures of any telephone, computer line, network, computer equipment, or software; or any other factors which may cause a lost notification.

Penrose Academy does not assume responsibility for incorrect contact information on file.

Employees are advised to program their department head's phone numbers in their mobile phones in an emergency.

#### **Missing Persons**

Suspected missing students should be reported immediately to The Campus Security Authorities and the local police department. For example, if members of the Penrose community believe that a student has been missing for 24 hours, it is critical to report that information to local law enforcement or call 911.

A student is determined to be missing when the Academy has verified that reported data is credible and circumstances warrant declaring the person missing.

#### **Missing Persons Notification**

Arizona law requires all law enforcement agencies to accept any report of a missing person without delay. Law enforcement agencies shall take missing person reports in person and may receive reports by telephone or by electronic or other media to the extent that this reporting is consistent with law enforcement policies or practices.

Campus Security Authorities will notify a student's designated contact within twentyfour hours of the Academy's determination that a student is missing. The Academy will inform the local law enforcement agency if the student has no designated contact on file. The Academy may have to contact other students, parents, law enforcement agencies, and other persons/entities that may have information on the missing student's whereabouts.

If an incident is in progress and you cannot call but have access to email, send an email to <u>compliance</u>. This email notifies all the following team members: the Director of Student Services, the Director of Education, the Assistant Director of Education, and the President.

#### Safety and Access

Penrose Academy is open to all students, staff, faculty, approved contractors, and guests during regular business hours. During nonbusiness hours, access to Penrose Academy is by key and security code via select employees and janitorial contractors. All Penrose Academy community members are responsible for reporting suspicious activity and unauthorized visitors, damaged or malfunctioning equipment, or access points (doors, windows, Etc.) for immediate repair.

#### **Security Cameras**

There are video surveillance security cameras located throughout Penrose Academy for the safety of students, staff, faculty, and guests.

#### Visitors

Students, staff, and faculty may not receive visitors during school hours unless approved by the President or Department Head under particular circumstances. This policy includes the student breakroom, employee breakroom, student patio, and parking lot during breaks and lunch.

#### Parking

Student parking is allowed in all assigned Academy spaces behind and front of the building. Students must enter the front parking lot to access this parking area. Penrose Academy will notify students via the Penrose app of any parking updates. Students must drive no more than five mph and enter and exit the lot carefully. Students' insurance companies must handle collisions or fender benders independently.

#### Maintenance

Penrose Academy Operations department maintains and repairs campus facilities. In addition, the Operations department reviews landscaping, locks, alarms, and lighting to identify and update areas of concern. The Academy makes every effort to keep its buildings and grounds secure.

#### **Campus Searches**

To protect the safety and well-being of our students, campus searches may occur at any time and without notice. In case of wrongdoing, investigations may include student-issued lockers, book bags, or personal belongings. Those suspected of criminal activity will be turned over to the proper authorities.

#### **Registered Sex Offenders**

Arizona requires offenders to register with their local Sheriff's Department based on their Arizona Risk Assessment score. Community members can find information on the website <u>Register Sex Offenders</u>. Various free-ofcharge search results can be obtained using information such as the violator's last name, the zip code for the area of interest, and a specific address with results for the surrounding threesquare miles. In addition, a downloadable listing of sex offender information can be obtained for a fee

at <u>www.azdps.gov/services/public/offender</u>.

Arizona has no law against offenders seeking or obtaining employment or living near any educational institution.

#### Safety Awareness Prevention

All students, faculty, and staff should have a preconceived plan of action in the event of criminal activity they should become involved in or witness. Following the general rules listed below helps mitigate the risk of becoming a victim of crime.

- Be alert for suspicious persons and activity on campus. For example, if you see an unfamiliar face in the vicinity, proceed to your work/class area and then report the matter immediately.
- Walk in well-lit areas. If possible, do not walk alone.
- In case of personal attack, scream as loudly as possible and run toward a more lighted or highly trafficked area.
- Do not voluntarily enter an assailant's vehicle or go to a more remote location with an assailant.
- Be aware of your surroundings. If you think you are being followed, go to a crowded area.
- Be alert and observant of the people around you.
- Trust your instincts. If you sense trouble, get away as soon as possible.
- Do not carry large amounts of money.
- Park your vehicle in populated and welllighted areas, if possible.
- Lock all vehicle doors and check them before leaving your parked car.
- Carry keys in your hand. Allowing you to reenter your vehicle rapidly should you see a suspicious person in the area.

# Health & Safety

Penrose Academy follows an Emergency Preparedness Policy and Plan, referred to as **Safety Sanitation and Infection Control (SSIC),** to educate and enforce protocols and plans that contribute to the safety, health, and welfare of the Penrose community. This policy focuses on educating students about diseases, infections, and threats.

Additionally, the policy educates students and employees on how to prevent the spread of disease, ensure proper hygiene and follow

safety and sanitation regulations while servicing the public, and the education covered in the daily curriculum.

The SSIC Policy is reviewed annually, more often when new threats arrive, so that students and employees are aware and able to execute these practices daily and upon graduation.

Penrose Academy may also develop SSIC policies specific to current pandemics and other threats. These policies are updated and posted to the Penrose App immediately following each update.

#### **Reporting Health and Safety Concerns**

Students, faculty, staff, and guests should immediately report health or safety concerns to campus faculty or staff personnel. Don't hesitate to contact Student Services via email or phone if no one is available to meet you in person. <u>Student Services</u>, (480) 222–9540.

For non-emergency issues, students, faculty, and staff are encouraged to complete an incident form. Incident forms are available in the Student Services office and Penrose app.

# **Emergency Policies & Procedures**

#### Emergencies

Specific emergencies, crimes, and suspicious activities that don't involve serious personal injury, property injury, or property loss can be reported to your local law enforcement officers at their non-emergency number. Some examples may include reports of a burglary where the suspect has left the vicinity; hit-andrun accidents with no injuries; graffiti or other vandalism; or persons disturbing the peace.

#### Timely Warning and Emergency Response

Timely warnings are triggered when the Academy determines a crime for which we must report statistics, such as a criminal homicide, sex offenses, robbery, aggravated assault, burglaries, hate crimes, persons with weapons with intent to use, and the threat of violence to students and employees. If a situation arises, either on or off campus, that in the judgment of the President constitutes an ongoing or continuing threat to the Academy's community. In that case, a campus-wide Timely Warning will be issued. The warning will be issued through the Penrose app, email, or phone, depending on the situation. A notice will be published in all cases that could immediately threaten individuals and the community.

#### **Timely Warning Notification**

The Academy will alert faculty, staff, and students if a pattern of criminal activity becomes apparent. Timely Warnings do not include the names of victims. In addition, when issuing a Timely Warning, some specific information may be withheld if there is a risk of compromising law enforcement efforts to investigate or solve the crime.

#### **Emergency Notifications**

The Academy notifies its community of an emergency using the emergency message system in the Penrose app. However, due to the fast-moving nature of an emergency, it may not be possible to notify building occupants in a timely manner. Therefore, emergency events may be prompted by verbal notification from the Penrose leadership team, faculty, staff, or other building occupants aware of immediate danger.

#### **Emergency Evacuation Drills**

The Academy conducts several emergency evacuation drills with students, staff, and faculty each year.

#### **Emergency Evacuation Policy and Procedure**

Evacuation means exiting a facility as directly and safely as possible. Evacuation is

appropriate when conditions inside a structure threaten the health and safety of building occupants, and leaving the facility is safer than remaining inside it. Evacuation should occur through the closest exit, but depending on the circumstances, an alternate or secondary evacuation route may need to be used. The goal of evacuation is to leave the premises as quickly and safely as possible.

#### Strategies for an Effective Evacuation

- Exit the premises through the nearest exit.
- Exit immediately, do not return for personal items.
- If possible, inform others of the evacuation.
- If possible, help others evacuate.
- Evacuate regardless of whether others agree to follow.
- Use the safest evacuation route possible.
- Avoid coming in contact with the hazard (fire, suspicious package, violent intruder, Etc.).
- Prevent individuals from entering an area where the risk may be

#### Notification

The Academy notifies its community of an emergency using the emergency message system in the Penrose app. However, due to the fast-moving nature of the event, it may not be possible to notify building occupants promptly. Therefore, emergency evacuations may be prompted by the notification from the Penrose leadership team, faculty, staff, or other building occupants aware of immediate danger.

If you feel your safety is at risk and evacuation is an appropriate strategy, you do not have to wait for official notification.

#### Preparedness

Knowing the nearest exits and evacuation routes is essential before an emergency. Refer to the Penrose Academy emergency evacuation plan and exit maps posted in each classroom, the student clinics, the break room, and the office on evacuation routes before an event occurs to be equipped to act fast and ensure your safety.

If you feel your safety is jeopardized and evacuating is an appropriate strategy given your circumstances, you do not have to wait for an official notification to evacuate.

#### Shelter In Place Policy and Procedures

Shelter in place is a precaution aimed at keeping the Academy's community members safe by requiring people to remain in their current location until the danger has passed. Find a secure place indoors and stay there until you are given an "all clear" or told to evacuate.

#### Strategies for effective Sheltering-In-Place

To effectively shelter in place, you must first consider the hazard and choose a place to shelter in a safe building. For example, for a serve thunderstorm, the safest location is inside a large, enclosed structure. Stand or sit away from doors and windows that lead outside. Stand out from concrete walls and avoid lying on concrete floors. Close doors and windows to the outside to prevent wind damage and injuries inside the building.

# General recommendations that may increase the effectiveness of sheltering-in-place:

- Shelter in an area that will shield you from the hazard
- A better shelter area will vary based on the hazard, but in general, choose a place away from windows and glass, with

no vents, and away from room corners where debris can accumulate.

- Large storage closets, utility rooms, pantries, break rooms, and conference rooms without exterior windows may work well as shelter areas.
- For suspected chemical releases, in some instances, it is better to shelter in a room above ground level because some chemicals are heavier than air and may seep below ground.
- For suspected radioactive releases, choose a centrally located room.
- When sheltering in place, crouch down along the wall and protect your head with your hands, if necessary.
- Stay away from lobbies, walkways, and other large glassed-in areas. Additionally, stay away from large, open spaces with an extended roof span.
- Take a cell phone and flashlight, if possible.
- If outside, seek shelter in the nearest building.
- Time permitting, close and lock external facing doors and windows and close curtains, blinds, or shades.
- If instructed, use duct tape, plastic sheeting, or heavy-duty plastic garbage bags to seal all cracks around the doors, windows, or vents.
- Facility managers should turn off HVAC or systems that exchange inside air with outside air.
- Consider that a shelter-in-place event can last minutes to hours. Be cognizant of seating areas and access to restrooms.
- Remain in a sheltered area until given the 'all clear by the City of Phoenix Emergency responders. The Academy's faculty and staff will signal that an allclear has been given.
- When given the 'all clear, open windows and doors, turn on HVAC and ventilation

systems, and go outside until the building's air has been exchanged with the now clean outdoor air.

#### Notification

The Academy notifies its community of an emergency using the emergency message system in the Penrose app. However, due to the fast-moving nature of the event, it may not be possible to notify building occupants in a timely manner. Therefore, shelter-in-place may be prompted by the notification from the Penrose leadership team, faculty, staff, student, coworker, or other building occupant's coworkers or students aware of immediate danger.

If you feel your safety is at risk and sheltering in place is an appropriate strategy, you do not have to wait for official notification.

#### Preparedness

Identify areas suitable for shelter-in-place before an incident, allowing you to think clearly during an emergency and increase your safety odds. Also, communicate everyday actions or procedures during emergencies to family members and loved ones. Finally, review Penrose Academy's emergency procedures and be aware and cautious of threats in your locality.

#### Lockdown Policy and Procedures

Lockdown Is a procedure used when there is an immediate threat to the building occupants. This temporary sheltering technique limits building occupants' exposure to imminent hazards or dangers. When "locking down," building occupants will shelter inside a room and prevent access from the outside.

#### Strategies for Effective Lockdown

No room can be made 100 percent inaccessible from an outside entrance, but below are a few strategies that can make a room more feasible for lockdown.

- Choose a room with a sturdy door and a strong lock. if possible
- Avoid locking down in a room with glass windows or panes as they are easily penetrable. If possible
- Be aware of which way the door opens
- In addition to locking, fortify entrance ways with heavy items
- If possible, avoid limiting escape routes
- Stay low and hide behind large items that may provide full or partial cover
- Avoid detection
- Be out of the view of the hazard (stay away from glass windows or doors)
- Turn cell phones on silent (not vibrate, a vibrating phone is still audible)
- Turn off room lights
- Turn off other items that may cause noise, such as TVs, radios, or web browsers

#### Notification

The Academy notifies its community of an emergency using the emergency message system in the Penrose app. However, due to the fast-moving nature of a threat associated with lockdown, it may not be possible to notify building occupants in a timely manner. Therefore, lockdown notification may come from the Penrose leadership team, faculty, staff, student, coworker, or other building occupant's coworkers or students.

It is important to note that if you feel your safety is at risk and lockdown is an appropriate strategy given your situation; you do not have to wait for an official notification to lockdown.

#### Preparedness

Knowing what characteristics make a room more conducive to lockdown may help avoid danger during an incident. Considering an appropriate lockdown room in a facility before an incident is critical.

#### Notifying Law Enforcement

If an event warrants locking down, notify law enforcement as soon as possible, but only if it is safe to dial 9-1-1 on any Academy landline phone or mobile device.

#### Interaction with Law Enforcement

When law enforcement arrives on the scene, their primary goal will be to neutralize the threat, not to assist injured victims.

Victims will be treated by emergency medical personnel. Law enforcement will have limited information as to the location of the threat.

# When interacting with law enforcement, it is essential to:

- Remain calm, and follow officers' directions
- Put down any items in your hands (cell phone, tools, Etc.)
- Immediately raise hands and spread fingers.
- Always keep your hands visible.
- Avoid making quick movements toward officers, such as holding on to them for safety.
- Avoid shouting, pointing, or screaming.
- Do not stop to ask officers for help or direction when evacuating; proceed in the order from which officers are entering the building.

#### **Lockout Policy and Procedures**

A lockout is used to constrain access to the facility and is different from lockdown and ensures all access to the facility is inaccessible. A lockout intends to prevent an outside threat from entering a secure facility. When lockout procedures are activated, internal doors are not necessary to be locked and fortified, only for external entrance ways.

#### Violent Intruder Policy and Procedure

Knowing what to do is crucial if you are in a potentially threatening situation. Putting time and distance between you and the threat is the best way to enhance your safety.

# The Department of Homeland Security provides the following guidance:

**RUN** – Escape the situation if you know you can safely evacuate without coming in contact with the threat.

HIDE – If escaping is not an option, barricade access to your space, hide, silence your devices, and stay as quiet as possible.

FIGHT – As a last resort, and only if the above two strategies fail, act aggressively toward the person or persons creating the threatening situation using whatever weapons are available to you at that time.

The above strategies are not sequential. Instead, use whichever option increases your safety, given your circumstances.

# Student Code of Conduct

This code of conduct guides students on personal conduct that reflects Penrose Academy's mission and culture. The Code of Conduct policy supports a professional, safe, and healthy learning environment that promotes all students' academic, social, and professional growth. The Code of Conduct is meant to support the development of selfdiscipline in all students. Both positive and negative consequences of behavior are recognized as necessary to encourage appropriate behavior and discourage inappropriate behaviors that would interfere with the goals and missions of Penrose Academy. While attending school during regular hours or school-sponsored activities, students are expected to adhere to the following standards:

- Practice professionalism.
- Be committed to their education.
- Connect and communicate authentically with the entire Penrose community.
- Be genuine, fair, kind, respectful, and honest to the Penrose community.
- Be accountable by taking responsibility to do well.
- Handle critical feedback with an open mind; avoid the urge to deny, deflect, or diffuse constructive feedback.
- Be available. Put technology away and connect face to face.
- Students' priority at school is to learn; avoid distractions that interfere with or are counter-intuitive to that mission.
- Be in the assigned place with appropriate materials, ready to perform at the designated time that class and student clinic begins.
- Always use school-appropriate language and behavior while maintaining friendly and courteous behavior.
- Follow individual educator instructions, class and student clinic rules, and expectations.
- Do not become a distraction for others. Instead, allow every other student to maximize their potential. Encourage your fellow students. Never tear them down.
- School attendance and participation in classroom and student clinics are critical to the educational process. Refusal to participate is defined as the refusal to perform services, refusal to take a guest, and refusal to take a walk-in guest.
- School attendance is necessary for student success. Furthermore, it allows students to achieve the maximum possible benefits from their educational experience. All students are encouraged to be present

and prompt. School attendance is the responsibility of students.

• Represent yourself in a manner that you will be proud of.

#### **Prohibited Conduct**

Penrose Academy will not tolerate prohibited conduct violations. Prohibited conduct violations will bring severe penalties, and the involvement of law enforcement, in some incidents. Also, be advised that carrying or using a firearm will have the swiftest and most severe penalties available to the academy.

- Students shall obey federal, state, and local laws and the policies of Penrose Academy.
- Students are prohibited from making false statements and providing incorrect information to Penrose Academy's personnel, including Title IX coordinators and investigators.
- Students shall not take pictures or videos of other students, guests, faculty, or staff without consent.
- Students are prohibited from bullying other students. If you see someone being bullied, intervene by telling them to stop or immediately report it to Academy personnel. Arizona defines bullying as any written, verbal, or physical act/electronic communication, including social media, intended to harm a student, and will not be tolerated.
- Students are prohibited from possessing weapons or controlled dangerous substances, including alcohol, on any school property or at any school function on or off school property.
- The obstruction of teaching; disrupting or obstructing teaching and learning is prohibited.

Disruptions are behaviors that continue after a warning and which a faculty member would

view as being likely to interfere with the conduct of a class substantially or repeatedly.

Examples may include but are not limited to Unauthorized use of cell phones and electronic devices in the classroom and student clinics, persistent speaking without being recognized, talking with classmates while a faculty member or another student who has the floor is talking, arriving late, leaving early, or leaving and returning to class while the course is in session without approval.

Penrose Academy has a zero-tolerance policy for drug and alcohol use. The manufacture, distribution, dispensing of, possession, use, sale, purchase, offer to buy/sell or use of alcohol, illegal drugs, or related paraphernalia and the illegal use of drugs (including the use of prescription drugs that cause impairment and medical marijuana) at Penrose Academy is prohibited.

Penrose Academy will not tolerate on any school property or at any school function on or off school property:

Tobacco	E-cigarettes	Vaping
Illegal drugs	Substance	Sexual
Drug	abuse	harassment
paraphernalia	Disorderly	Sexual
Alcohol	conduct	misconduct
Sexual	Verbal	Physical
violence	abuse	abuse
Intimidation	Weapons	Harassment
Stalking	Violence	Retaliation
Discrimination	Fraud	Dishonesty
forgery	Gambling	Theft
Damage or des property	truction of	Arson

#### **Rights & Responsibilities**

#### **Faculty and Staff**

Penrose Academy employees strive to help each student realize their potential. Together we work to foster inquisitiveness, gain knowledge, and succeed.

To make this commitment, Penrose Academy employees shall:

- Always allow the student access to varying viewpoints.
- Consistently deliver the subject matter relevant to the student's progress.
- Always make a reasonable effort to protect the student from safety risks.
- Always attempt to eliminate exposure to embarrassment or disparagement.
- Always follow the "Praise Publicly, Coach Privately" rule.
- Always accept differing points of view and celebrate diversity by providing a safe environment regardless of a student's race, color, creed, gender, gender identification, national origin, marital status, political or religious beliefs, family, social or cultural background, or sexual orientation.
- Always require and expect a student's involvement in the learning process.
- To know and execute the academy's policies regarding the Student Code of Conduct.
- It is the responsibility of faculty to inform students of classroom and student clinic expectations and assessment guidelines.
- It is the responsibility of faculty to work within the academy in a setting of clear and structured guidelines of the due process concerning violations of the Student Code of Conduct.

#### Student

- Students shall respect the rights of others.
- Students shall be involved in the learning process.

- Students shall have access to varying viewpoints.
- Students have the right to express their opinions responsibly.
- Students have the right to take part in their education.
- Students have the right to be respected by their educators, support staff, and peers.
- Students shall be responsible for demonstrating respect for themselves through their hygiene, dress, and behavior.
- It is the responsibility of students to familiarize themselves and comply with Penrose Academy's policies regarding the Student Code of Conduct.
- Students shall be informed of any alleged violations and possible sanctions concerning violations of the Student Code of Conduct policy.
- Students have the right to due process concerning alleged violations of the Student Code of Conduct policy, including an appeal process.

#### **Code of Conduct Violation Penalties**

Penalties shall be viewed as progressive to meet the severity and nature of any offense. The principal element of all sanctions is to create a positive learning experience for the students, highlight a sense of responsibility for one's actions, and prevent future behaviors that may escalate in severity.

- Informal warning
- Formal warning
- Early dismissal
- Behavioral contract
- Suspension from school
- Termination of enrollment

Suspension from school will include suspension of privileges for participation in all school activities during the suspension period.

#### **Right To Due Process**

A student charged with code of conduct violations must be informed of the nature of the charges in writing, be given copies of any documents related to the charges and be given the opportunity to refute them.

The Academy must not be subjective in its decisions to sanction students and must always provide the opportunity for students to appeal any disciplinary penalty. When disciplinary decisions are rendered, students are provided with procedural guidelines for appeal. Whenever possible, except for reasons related to the mental or physical safety or wellbeing of the student or others on the campus, a student's status, including the right to attend classes, participate in school-related activities, or use Penrose Academy facilities, should not be altered pending disciplinary action.

# Title VI of the Civil Rights Act of 1964

**Title VI** is a federal law that prohibits discrimination based on race, color, or national origin in any program and activity that receives federal funding or other federal financial assistance.

**Title VI** States that No person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal Financial Assistance. The policy of Penrose Academy is to comply with Title VI of the Civil Rights Act of 1964 and its regulations that prohibit unlawful discrimination based on race, color, sex, age, disability, and national origin. The Title VI Compliance Officer is the President at Penrose Academy, appointed to coordinate the efforts to comply with the law. Any person who believes they have been subjected to discrimination or retaliation based on their race, color, sex, age, disability, or national origin may file a Title VI complaint.

Complaints may be filed directly to the President. Complaints must be filed within 180 days of the date of the alleged discriminatory Act.

#### **Complaint Procedure**

Notify the President as soon as possible after the incident.

#### Nikki Parcells, President

13402 North Scottsdale Road, Suite B-160 Scottsdale, AZ 85254 480.222.9450 Ext 202 <u>compliance@penrose.edu</u> <u>nikki@penrose.edu</u>

Grievance procedures to address complaints of discrimination based on race, color, sex, age, disability, and national origin are outlined in the student grievance procedure published in the student catalog.

# Substance Abuse Policy & Prevention Plan

Penrose Academy is committed to maintaining a campus free of substance abuse. Accordingly, no employee that performs work for Penrose Academy or student who is enrolled at Penrose Academy is allowed to consume, possess, sell, purchase, or be under the influence of alcohol or illegal drugs, as defined by federal law, on any property owned by or leased on behalf of Penrose Academy, or in any vehicle owned or leased on behalf of the Company or while on Company business. The use of over-thecounter and legally prescribed drugs is permitted if they are used in the manner they were prescribed and provided that such use does not hinder an employee's ability to safely perform their job or hinder a student's ability to

conduct training safely. Employees should inform their department heads if they believe their medication will impair their job performance, safety, or the safety of others or if they think they need reasonable accommodation when using such medicine. Likewise, students should inform Student Services if they believe their medication will impair their training performance, safety, or the safety of others or if they think they need a reasonable accommodation when using such medicine.

Penrose Academy will not tolerate employees who report for work while impaired by using alcohol or drugs. All employees should report evidence of alcohol or drug abuse to their department head or the President immediately. In cases in which the use of alcohol or drugs creates an imminent threat to the safety of persons or property, employees are required to report the violation. Failure to do so may result in disciplinary action, including termination of employment. In addition, Penrose Academy will not tolerate students who report to school while impaired by using alcohol or drugs. All students should report evidence of alcohol or drug abuse to the Student Services department immediately. In cases in which the use of alcohol or drugs creates an imminent threat to the safety of persons or property, students are required to report the violation.

Failure to do so may result in disciplinary action, up to and including termination of enrollment. In addition, as a part of our effort to maintain a campus free of substance abuse, Penrose Academy employees and students may be asked to submit to a medical examination and clinical testing for the presence of alcohol and drugs. Penrose Academy reserves the right to examine and test for drugs and alcohol at our discretion within the limits of federal, state, and local laws. As a condition of employment and enrollment with Penrose Academy, employees and students must comply with this Drug & Alcohol Use Policy. Be advised that no part of the Drug & Alcohol Use Policy shall be construed to alter or amend the at-will employment relationship between Penrose Academy and its employees.

Employees and students violating this policy may be subject to disciplinary action, including termination of enrollment and employment. Drug abuse can have a pervasive effect on an entire community. Understanding drug use risk factors and spreading the word through prevention programs is the best defense against drug abuse. Drug abuse prevention has become an essential first step in informing our staff, faculty, and students about the dangers of addiction, prevention techniques, and where to find recovery help if necessary. The use of drugs and alcohol can cause numerous health problems and lead to death. The effects on a person's health include respiratory failure, heart attack, overdose, acute intoxication, and transmittable diseases such as Hepatitis C and AIDS. In addition, thousands of deaths are caused each year by drug overdoses, allergic reactions to drugs, toxic combinations of drugs, and alcohol poisoning. Some drugs legally purchased at a pharmacy, whether prescribed by a doctor or bought over the counter (OTC), can be just as dangerous and impair your judgment. Look for warning labels or ask your pharmacist if you are in doubt about a drug's capacity for impairment. Penrose Academy has a zerotolerance policy for drug and alcohol use. For the safety of Penrose Academy, students, staff, faculty, and its guests, the manufacture, distribution, dispensing of, possession, use, sale,

purchase, offer to buy/sell or use of alcohol, illegal drugs, or related paraphernalia and the illegal use of drugs (including the misuse of prescription drugs) at Penrose Academy, on campus or while engaged in the course curriculum, is strictly prohibited. Students, staff, or faculty under the influence of nonprescription or prescription drugs (those that impair judgment, alertness, concentration, or motor skills), alcohol, or any unknown substance (including before school, during school, on a field trip, or at a Penrose Academy event) will be asked to leave the premises immediately.

An investigation and termination proceedings may follow and be subject to criminal prosecution. Penrose Academy further reserves the right to take all appropriate and lawful action necessary to enforce this substance abuse policy including, but not limited to, the inspection of school-issued lockers, desks, or other suspected areas of concealment, as well as personal property when the school has reasonable suspicion to believe the student, staff or faculty member has violated this policy. The use of a drug detection dog may also be used for searches.

Penrose Academy also prohibits such conduct during the non-curriculum time to the extent that, in the judgment of Penrose Academy, it impairs a student's ability to progress through the curriculum, threatens the reputation and integrity of the school, or violates the law. Penrose Academy will refer violators to the authorities for prosecution consistent with local, state, and federal law under the Arizona Revised Statutes Title 13 Criminal Code, Chapter 34 Drug Offenses, Sections 3401 – 3421 can be found online at Arizona State Legislature. You may request a copy of Penrose Academy's Substance Abuse Policy and Prevention Plan from the Student Services office for resources on substance abuse

prevention; this policy is also provided digitally for every student and posted on our student app. The penalties for drug possession can vary and depend on the kind and quantity of drugs or substances in possession, previous criminal history, and whether your charges are simple possession, which is for personal use, or possession with the intent to sell. Students and staff members who use prescription drugs should follow the prescribing physician's directions for use. Prescriptions must be kept with the prescription bottle with the person's name and prescribed medication. Suppose the use of a prescription drug impairs performance or affects safety. In that case, you should notify your educator or immediate supervisor, so Penrose Academy can take appropriate action to protect your safety and the safety of fellow students, coworkers, and guests. Any student or staff member violating this policy is subject to suspension or expulsion from the program.

Below are some examples of charges and penalties that may be imposed.

- Dangerous Drug §13-3407, possessing or using dangerous drugs is a Class 4 felony. In Arizona, a "dangerous drug" is generally defined as any narcotic that is not marijuana. Including the possession of cocaine, methamphetamine, amphetamines, anabolic steroids, hallucinogenic drugs, and certain prescription medications.
- Narcotics § 13-3408 makes it a felony offense to possess narcotic drugs in Arizona. Cocaine, heroin, and oxycodone are all classified as narcotic drugs in Arizona. If a person is caught in possession of narcotic drugs, they may face either probation or prison time, depending on their criminal history.
- Marijuana Voters in Arizona passed Prop 207, a statewide ballot initiative legalizing the

possession, use, and commercial sale of marijuana for adults. The Act permits those age 21 or older to possess up to one ounce of cannabis and directs revenue from retail cannabis sales to fund various public education and safety programs. In addition, adults may cultivate up to six plants for noncommercial purposes in a private residence. The law took effect on November 30, 2020.

- Possession by those under age 21 is a civil penalty for a first offense, a petty offense for a second offense, and a class 1 misdemeanor for a third offense.
- Use in public is classified as a petty offense.
- Possession for the personal use of more than one ounce but less than 2.5 ounces of marijuana is a petty offense punishable by a maximum fine of \$300.
- Possession for the personal use of fewer than 2 pounds of marijuana is a Class 6 felony, punishable by a minimum sentence of 6 months, a maximum sentence of 1.5 years, and a minimum fine of \$1000 or a fine to exhaust the proceeds of the drug offense. In addition, if probation is granted after conviction for this offense, the offender will face a mandatory sentence of 24 hours of community service.
- Possession for the personal use of 2-4 pounds of marijuana is a Class 5 felony, punishable by a minimum sentence of 9 months, a maximum sentence of 2 years, and a minimum fine of \$1000 or a fine to exhaust the proceeds of the drug offense. In addition, if probation is granted after conviction for this offense, the offender will face a mandatory sentence of 24 hours of community service.
- Possession for the personal use of more than 4 pounds of marijuana is a Class 4 felony, punishable by a minimum

sentence of 1.5 years, a maximum sentence of 3 years, and a minimum fine of \$1000 or a fine to exhaust the proceeds of the drug offense. In addition, if probation is granted after conviction for this offense, the offender will face a mandatory sentence of 24 hours of community service.

- **DUI** –In Arizona, Driving Under the Influence of drugs or alcohol is illegal for adults and minors. Arizona has strong penalties for DUI.
  - First offense: You will be jailed for not less than ten consecutive days and fined not less than \$1,250. You will also be required to undergo alcohol screening/education/treatment, equip any vehicle you operate with a certified ignition interlock device, and be ordered to perform community service.
  - Second and subsequent offenses: You will be jailed for not less than 90 days and fined not less than \$3,000, and your license will be revoked for 12 months.
    You will also be required to undergo alcohol screening/education/treatment, equip any vehicle you operate with a certified ignition interlock device, and be ordered to perform community service.
- Extreme DUI This category of DUI applies to a person with an alcohol concentration of 0.15 or higher.
  - First offense: You will be jailed for not less than 30 consecutive days with no eligibility for probation or suspended sentence and fined not less than \$2,500. You will also be required to undergo alcohol screening/education/treatment and be ordered to perform community service and equip any vehicle you operate with a certified ignition interlock device.
  - Second and subsequent offenses: You will be jailed for not less than 120 days, fined not less than \$3,250, and your

license will be revoked for 12 months. You will also be required to undergo alcohol screening, education, and treatment, equip any vehicle you operate with a certified ignition interlock device, and be ordered to perform community service.

If you or someone you know is struggling with drug or alcohol abuse, please call 1-877-335-HOPE (4673) for a referral or one of the treatment centers provided in the table below. **Please note:** Penrose Academy does not have a professional relationship with or endorse any treatment centers.

#### **Drug and Alcohol Treatment Facilities**

Magellan of Arizona	Crisis Hotline: 800-631-1313
	www.magellanofaz.com
Community	800-352-3792
Information & Referral	http://www.cir.org
Cenpatico	Crisis Hotline: 866-495-6735
Behavioral Health of	www.cenpaticoaz.com
Arizona	
U.S. Substance Abuse	www.samhsa.gov
& Mental Health	_
Services	
Administration	

Any student who violates this policy is subject to expulsion from the program. In addition, any staff or faculty member who violates this policy is subject to sanctions leading up to or including termination.

# Title IX Sexual Harassment, Discrimination, and Misconduct Policy & VAWA

Penrose Academy does not tolerate sexual misconduct, including sexual harassment. Such conduct harms the well-being of our community members, our learning environments, working environments, and the collegial relationships among students, faculty, and staff that characterize the culture of Penrose Academy. Therefore, all prohibited conduct under this policy is regarded as severe academy offenses, and violations may result in discipline, including the possibility of separation from the Academy.

Penrose Academy does not discriminate based on sex in its education programs or activities. Title IX requires Penrose Academy of the Education Amendments of 1972 (Title IX) and 34 C.F.R. Part 106 not to discriminate in such a manner. The requirement not to discriminate in the education program or activity extends to admissions and employment. Inquiries about the application of Title IX and 34 C.F.R. Part 106 may be referred to Penrose Academy's Title IX coordinators, the United States Department of Education, Office for Civil Rights, Assistant Secretary for Civil Rights, or both.

Further, Penrose Academy is committed to providing safe and non-discriminatory learning and working environments for all members of the Academy's community. Therefore, Penrose Academy does not discriminate based on sex or gender in any of its education or employment programs or activities as outlined in the policy 'Sexual and Gender-Based Harassment and Other Forms of Interpersonal Violence. This policy prohibits behavior that violates Title IX, VAWA, Title VII, and Clery Act, and Penrose Academy's Code of Conduct. Penrose Academy adopts this policy with a commitment to eliminating, preventing, and addressing the effects of prohibited conduct and fostering a community of trust and mutual respect in which prohibited conduct is not tolerated.

Penrose Academy strives to cultivate a climate where all individuals are well-informed and supported in reporting prohibited conduct, providing a fair and impartial process for all parties.

#### Title IX

Title IX of the Education Amendments Act of 1972 is a federal law that states: "No person in the United States shall, based on sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any education program or activity receiving federal financial assistance. Penrose Academy adheres to the specifications of Title IX.

### **Clery Act**

The Clery Act or The Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (20 U.S.C. § 1092(f)) is the federal law that requires colleges and universities across the United States to disclose information about crime on and around their campuses. Penrose Academy announces and publishes an Annual Safety and Security Report to current and prospective students and employees by October 1. This report provides crime statistics for the prior three years, policy statements regarding various safety and security measures, campus crime prevention descriptions, emergency notifications, missing students, and prevention and procedures to be followed in the investigation and prosecution of alleged sex offenses. In addition, under the Clery Act, any student or employee who becomes a victim of dating violence, domestic violence, sexual assault, and stalking (on or off campus) has the right to receive a written explanation of their rights and options.

# VAWA

Congress passed the Violence Against Women Act (VAWA) as part of the Violent Crime Control and Law Enforcement Act of 1994 In recognition of the severity of the crimes associated with domestic violence, sexual assault, and stalking.

#### **Title IX Coordinators**

Penrose Academy Title IX coordinators Missy Conti, Stephanie Toth, and Andria Young are integral in ensuring that all students have access to the variety of resources that Penrose Academy offers.

#### Responsibilities of the Title IX Coordinator:

- Oversee the Academy's compliance with Title IX.
- Respond to any report of sexual harassment or violations of Title IX and the code of conduct against any employee or student at Penrose Academy.
- Oversee and participate in resolving any formal complaint of sexual harassment or other violations of Title IX and the Code of Conduct.

#### **Contact Information:**

#### **Missy Conti**

Title IX Coordinator 13402 North Scottsdale Road, Suite B-160 Scottsdale, AZ 85254 480.222.9450 Ext 204 compliance@penrose.edu

### Stephanie Toth

Deputy Title IX Coordinator 13402 North Scottsdale Road, Suite B-160 Scottsdale, AZ 85254 480.222.9450 Ext 204 compliance@penrose.edu

#### Andria Young

Deputy Title IX Coordinator 13402 North Scottsdale Road, Suite B-160 Scottsdale, AZ 85254 480.222.9450 Ext 208 <u>compliance@penrose.edu</u>

#### **Title IX Coordinator Training**

Penrose Academy's Title IX coordinator and Deputy Title IX coordinators, investigators, and other identified administration members have

undergone extensive training in the Title IX policies and regulations through Thompson Coburn Title IX, a module-based training series.

#### Module 1 – An Introduction to Managing Title IX Sexual Harassment on Campus: Module 1 – Fundamentals of the Law

Module 2 – Formal Complaints of Title IX Sexual Harassment: <u>Module 2 - Formal Complaints</u> Module 3 – Title IX Investigations & Informal Resolutions: <u>Module 3 - Investigations & Informal</u> <u>Resolutions</u>

Module 4 – Title IX Hearings: <u>Module 4 - Hearings</u> Module 5 – Title IX Determinations: <u>Module 5 -</u> <u>Determinations</u>

#### Module 6 - Title IX Appeals: Module 6 - Appeals

Title IX coordinators, investigators, decisionmakers, and anyone who facilitates an informal resolution process under these procedures, also receive training on prohibited conduct not constituting sexual harassment as defined in this policy and on the policy and procedures contained herein. All materials used to train Title IX coordinators, investigators, decision-makers, and any person that facilitates an informal resolution process under these procedures, do not rely on sex stereotypes and promote impartial investigations and adjudications of formal complaints of prohibited conduct. Training is provided annually to Title IX coordinators, investigators, decision-makers, and anyone who facilitates an informal resolution process.

#### **Prohibited Conduct**

Penrose Academy is committed to providing safe and non-discriminatory learning and working environments for all the Academy's community members. Penrose Academy does not discriminate based on sex or gender in its education or employment programs and activities.

Penrose Academy strictly prohibits harassment of any kind, including sexual harassment, sexual or gender-based misconduct, sexual exploitation, retaliation, and involvement (prohibited conduct).

Sexual or other unlawful harassment or discrimination includes verbal, physical, or visual conduct based on sex, race, age, national origin, disability, or another legally protected basis. Submission to such conduct is made either explicitly or implicitly, a term or condition of an individual's education or employment.

Submission or rejection of such conduct by an individual is used as a basis for decisions concerning that individual's education or employment. Or it creates a hostile or offensive work environment, which means the alleged conduct is a severe limit or denies a student's ability to participate or benefit from the student's education program.

Unlawful harassment or discrimination may include racial epithets, slurs, derogatory remarks, stereotypes, jokes, posters, or cartoons based on race, national origin, age, disability, marital status, or other legally protected categories.

Sexual harassment is based on sex, whether directed towards a person of the opposite or same sex. Including explicit sexual propositions, sexual innuendo, suggestive comments, sexually-oriented "kidding" or "teasing," practical jokes, jokes about or displays of obscene printed or visual material, questions about sexual fantasies, preferences or history, and physical contact such as patting, pinching, or intentionally brushing against another person's body.

Gender-based harassment, including acts of verbal, nonverbal, or physical aggression, intimidation, or hostility based on sex or sexstereotyping, is strictly prohibited, even if those

acts do not involve conduct of a sexual nature.

These prohibited forms undermine the Academy's character and purpose and will not be tolerated. Penrose Academy will take prompt and equitable action to eliminate prohibited conduct, prevent its recurrence, and remedy its effects.

# Sexual harassment means conduct based on sex that satisfies one or more of the following:

- An employee of the academy conditioning the provision of aid, benefit, or service of the recipient on an individual's participation in unwelcome sexual conduct. 'Quid Pro Quo.'
- Unwelcome conduct determined by a reasonable person to be so severe, pervasive, and objectively offensive that it effectively denies a person equal access to the Academy's education program or activity; or "sexual assault," "dating violence," "domestic violence," or "stalking" as defined for VAWA purposes.
- Sexual assault, dating violence, domestic violence, or stalking as defined by federal statutes known as the Clery Act and the Violence Against Women Act (VAWA).
- 4. Sexual harassment under Title IX includes harassment based on sexual orientation and gender identity.

Penrose Academy's Title IX policy applies to all persons involved in the Academy's operation. It prohibits unlawful harassment by academy employees, students, customers, vendors, or anyone who does business with the Academy. Any employee, student, or contract worker who violates this policy will be subject to disciplinary action. In addition, Penrose Academy will take appropriate another personation to the extent to which a customer, vendor, or another person with whom Penrose Academy does business engages in unlawful harassment or discrimination.

Penrose Academy shall disseminate this policy to the school community through publications on the Penrose Academy website, Penrose app, new employee and student orientations, and other appropriate communication channels. In addition, the Academy will respond quickly to all reports and take appropriate action to prevent, correct, and, if necessary, discipline behavior that violates this policy. Additional information can be found on the Office of Civil Rights website at <u>www.HHS.gov</u> or call Toll Free: (877)969.6775. **Response to Sexual Harassment** A recipient with actual knowledge of sexual harassment in an education program or activity of the Academy against a person in the United States must respond promptly in a manner that is not deliberately indifferent. A recipient is intentionally indifferent only if their response to sexual harassment is unreasonable considering the known circumstances.

Educational program or activity includes locations, events, or circumstances over which the Academy exercised substantial control over both the respondent and the context in which the sexual harassment occurs and consists of any building owned or controlled by a student organization that a postsecondary institution officially recognizes.

The Academy will treat complainants and respondents equitably. The Title IX coordinator must promptly contact the Complainant to discuss the availability of supportive measures, consider the Complainant's wishes concerning supportive measures, inform the Complainant of the availability of supportive measures with or without the filing of a formal complaint, and explain to the Complainant the process for filing a formal complaint.

#### Definitions

Quid Pro Quo Harassment is when a school employee conditions educational benefits on participation in unwelcome sexual conduct. Sexual misconduct is defined as sexual assault, sexual harassment, sexual intimidation, dating violence/domestic violence, sexual exploitation, and stalking.

Sexual harassment is defined as unwelcome conduct of a sexual nature. It includes guid pro quo, unwelcome sexual advances, requests for sexual favors, and other verbal, nonverbal, or physical behavior of a sexual nature. Other unwelcome conduct is so severe or pervasive interferes or creates a hostile environment. Sexual harassment is conduct that explicitly or implicitly affects a person's employment or education, interferes with a person's work or educational performance, or creates an environment where a reasonable person would find the conduct intimidating, hostile, or offensive. Such behavior only has to happen once to violate the policy. Less severe behaviors violate the policy when pervasive or repeatedly occur, such as sexual comments or requests for dates after the person has already said no.

**Sexual intimidation** is defined as threatening or coercive behavior of a sexual nature, such as exposing oneself and sexual extortion.

**Sexual exploitation** is defined as taking sexual advantage of someone without their consent, such as prostituting someone and sharing images.

**Sexual violence** is physical and sexual acts done without the other person's consent or when the other person cannot consent to the activity. Sexual violence includes sexual assault, rape, battery, sexual coercion, domestic violence, dating violence, and stalking.

**Domestic violence** is defined as abuse committed against an adult or a minor who is a spouse or former spouse, cohabitant or former cohabitant, or someone with whom the abuser has a child, has an existing dating or engagement relationship, or has had a former dating or engagement relationship.

**Dating Violence** is defined as abuse committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim.

Sexual assault occurs when physical or sexual activity is done without the other person's consent or when the other person cannot consent to the action. The activity or conduct may include physical force, violence, threat, intimidation, ignoring the other person's objections, causing the other person's intoxication or incapacitation by using drugs or alcohol, and taking advantage of the other person's incapacitation (including voluntary intoxication).

**Stalking** is a course of conduct directed at a person that would cause a reasonable person to fear for the person's safety or the safety of others or suffer substantial emotional distress. Such conduct includes physical contact, verbal contact, electronic contact, following someone, and sending unwanted socialmedia posts.

**Consent** is a mutual agreement to engage in an activity. Joint engagements are informed, voluntary, and revocable. Consent is an affirmative, unambiguous, and conscious decision by each participant to engage in mutually agreed-upon sexual activity. Consent must be given without coercion, force, threats, or intimidation. Consent must be ongoing throughout a sexual encounter and can be revoked at any time. Once consent is withdrawn, the sexual activity must stop immediately.

**Incapacitation** is when a person is incapable of giving consent, such as sleep, unconsciousness, intoxication, disabilities, or injuries.

### Prevention

Students and employees must take the 'Title IX Harassment, Discrimination, Sexual Misconduct, Sexual Harassment, Sexual Violence, Sexual Assault, Sexual Abuse Awareness and Prevention Training.' This training occurs during new hire orientations and new student orientations.

# Information on Risk Reduction

At the individual level, there are practical methods and strategies for reducing the risk of sexual misconduct. However, it is essential to know that no plan or system would be able to eliminate the risk of sexual misconduct entirely and that it is never your fault if you are harmed by sexual misconduct.

#### Stalking

Below are some practical methods and strategies for reducing the risk of stalking, but it is essential to know that no method or strategy would be able to eliminate the risk of stalking entirely and that it is never your fault if you are stalked.

- Trust your instincts. Tell someone (preferably Title IX Coordinator and law enforcement) if something doesn't feel right.
- Don't post or remove any posting of personal contact information on social media and other websites.
- Don't give your passwords or login information to anyone, including your significant other.
- If someone tells you they don't want to communicate with you, or if they stop responding, take the hint and stop contacting them.
- Change your routes and routines.

#### Sexual Assault

Below are some practical methods and strategies for reducing the risk of sexual assault. However, it is essential to know that no method or strategy would eliminate the risk of sexual assault entirely and that it is never your fault if you are sexually assaulted.

- Don't accept drinks from anyone you don't know or trust and continuously monitor your drink.
- Trust your gut. Do not hesitate to leave or ask for help if something doesn't feel right.
- Make a pact with your friends to watch out for one another and ensure everyone gets home safely.
- Be an active bystander.

#### Sexual Harassment

- Below are some practical methods and strategies for reducing the risk of sexual harassment. However, it is essential to know that no method or strategy would eliminate the risk of sexual harassment entirely and that it is never your fault if you are sexually harassed. Don't continue to ask someone out if they have already declined.
- Don't touch someone without permission.
- Respect a person's wishes related to contact with them.
- Say, "that wasn't funny," if you hear someone telling an offensive joke.
- Tell someone if you see or hear someone exhibiting behavior that makes you uncomfortable.
- Take a minute to consider the implication of comments, jokes, or stories before sharing them with others.
- Don't post sexually explicit material on social media or public spaces.
- Don't send or post pictures of your or others' genitalia.

# **Bystander Intervention**

Effective bystander programs foster an encouraging environment for others to speak out against sexist attitudes, rape myth beliefs, and sexual violence itself. Confronting sexual violence can help change the social norms of a community and society as a whole. The most commonly used bystander intervention model outlines the following five steps.

- 1. Recognize signs that an act of sexual violence may occur or is occurring.
- 2. Identify that the potential victim is at risk and that intervention is appropriate.
- 3. Decide whether or not to take responsibility for intervening.
- 4. Decide the most appropriate and safest way to intervene.
- 5. Implement the decision to intervene safely to diffuse the situation.

# **Understanding & Obtaining Consent**

- Understand that mixing alcohol or drugs with sexual activity is always risky. Do not engage in sexual activity if either or both parties are intoxicated.
- Talk to your partner about your needs, limits, and boundaries.
- Listen and watch for signs that your partner is not consenting. Remember you are looking for an enthusiastic yes!
- If your partner gives anything less than an enthusiastic yes, stop what you are doing and ask your partner if they're okay and want to continue.
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#### **Grievance Policy & Procedure**

Notify a Title IX coordinator as soon as possible after the incident. Reports of sexual harassment may be verbal or written and received at any time.

A formal Complaint means a document filed by a Complainant and signed by the Title IX coordinator alleging sexual harassment or other violations of the Academy's Title IX policy against a respondent and requesting that the Academy investigates the allegation of sexual harassment and not allow an inappropriate situation to continue, regardless of who is creating the problem. No employee, contract worker, student, vendor, or another person who does business with Penrose Academy is exempt from the prohibitions in this policy. Faculty/Staff will refer all harassment complaints to the Title IX Coordinator for student-related complaints and complaints involving an employee.

To facilitate the investigation, a student complaint should include details of the incident or incidents, names of the individuals involved, and terms of any witnesses. Incident reports and complaint forms can be obtained from the Student Services department or the Title IX coordinator for an Incident Report. The Title IX coordinator can assist students in completing this report and notifying the appropriate authorities, if necessary and requested by the alleged victim. In addition,

Penrose Academy ensures that the employee designated to serve as Title IX coordinator has adequate training on what constitutes sexual harassment, including sexual violence, and that students understand how Penrose Academy's complaint procedures operate.

**Complainant** means an individual alleged to be the victim of conduct that could constitute sexual harassment or other violations of the Academy's Title IX policy. When a formal complaint is filed, a complainant must be participating in or attempting to participate in the education program or activity of the

Academy with which the formal complaint is filed.

**Respondent** means an individual reported to be the perpetrator of conduct that could constitute sexual harassment or other violations of the Academy's Title IX policy. Respondents are always presumed innocent; or, more technically, not responsible for sexual harassment. Respondents may not be sanctioned unless and until an investigation is completed and a final decision rendered.

#### Advisors

Complainants and respondents may have an advisor. Title IX advisors may be a Penrose Academy faculty or staff member, another Penrose Academy student, a friend, a parent, an attorney, or any other person of the student's choosing.

The student must notify The Title IX coordinator two working days before conducting meetings or hearings if accompanied by an advisor.

#### Advisors may:

- Accompany you in any conduct proceedings.
- Advise you in the preparation and presentation of sharing of information.
- Advise you in the preparation of any appeals or sanction reviews.

During conduct proceedings, complainants and respondents are expected to ask and respond to questions independently.

Advisors may advise but may not make a presentation or represent you. Advisors may consult with you but may not speak on your behalf.

Delays in the conduct process will not normally be allowed due to scheduling conflicts with advisors. Advisors may not be disruptive to the operation.

# Upon receiving a complainant, the Title IX coordinator will:

- Contact the Complainant as soon as practicable.
- Notify the Complainant of their right to file a formal complaint and the grievance process.
- Discuss and implement supportive measures. Title IX Coordinator will consider supportive measures but is not bound by the Complainant's wishes.
- Dismissal of Formal Complaint

# The Title IX Coordinator will dismiss a formal complaint if:

- The allegations do not establish sexual harassment, even if they are true.
- The allegations did not occur in connection with Penrose Academy's programs or services.
- The allegations did not occur in the United States.
- The respondent's employment with or enrollment at Penrose Academy ends.
- Specific circumstances prevent the Academy from gathering sufficient relevant evidence to reach a final decision (e.g., the passage of time, unavailability of witnesses, or other information).

The Title IX coordinator will document the basis for any formal complaint's dismissal.

#### **Disabled Students**

A disabled student's status as the respondent does not waive or otherwise affect their protections under the IDEA, the A.D.A., or Section 504. Generally subject to statutory exceptions, an IDEA or Section 504-eligible student may not be disciplined for misconduct that is a manifestation of their disability.

#### **Retaliation Prohibited**

Penrose Academy or another person may not intimidate, threaten, coerce, or discriminate against any individual to interfere with any right or privilege secured by Title IX or because the individual has made a report or complaint, testified, assisted, participated, or refused to participate in any manner in a Title IX investigation, proceeding, or hearing.

Penrose Academy will keep confidential the identity of any individual who has made a report or complaint of sex discrimination, including any complainant, any individual who has been reported to be the perpetrator of sex discrimination, any respondent, and any witness, except otherwise noted in regulations. Charging an individual with a code of conduct violation for making a false statement in bad faith during a grievance proceeding does not constitute retaliation, provided that a determination regarding responsibility alone is not sufficient to conclude that any party made a materially false statement in bad faith.

#### **Temporary Removal**

The Academy may remove a respondent from the education program or activity on an emergency basis, provided that the Academy undertakes an individualized safety and risk analysis and determines that an immediate threat to the physical health or safety of any student or other individual arising from the allegations of sexual harassment justifies removal and provides the respondent with notice and an opportunity to challenge the decision immediately following the removal.

#### **Administrative Leave**

A non-student employee respondent may be placed on temporary administrative leave during the pendency of a grievance process.

#### Supportive Measures

Title IX was enacted to prevent sex discrimination and harassment from adversely affecting access to educational opportunities.

- Supportive measures to restore or ensure continued access to educational opportunities are provided to the Complainant.
- Supportive measures provided to the Complainant will not be punitive or unreasonably burdening to the respondent.
- Non-exclusive examples of supportive measures include no-contact orders, counseling, course modifications,
- schedule changes, transfers, increased security, and monitoring.

The Title IX coordinator will consider but is not bound by the Complainant's wishes. Notice of Allegations Upon Receipt of Formal Complaint, the Title IX Coordinator will notify the Complainant and the respondent in writing when a formal complaint is filed. In addition, supplemental notice will be provided to the Complainant and the respondent if new allegations arise during the investigation.

#### Notice to the respondent include:

- A statement of the allegations in sufficient detail permits the respondent to prepare a response.
- A statement respondent is presumed not responsible until a final decision is rendered.
- The parties' right to inspect the formal complaint and all relevant evidence.
- The parties' right to be represented by an advisor, who may be an attorney.
- Declaration of Student Code of Conduct prohibiting false statements or representations.

- Sufficient details with sufficient time to prepare a response before any initial interview.
- A statement stating the respondent is presumed not responsible and that a determination regarding responsibility is made after the grievance process.
- The notice must inform the parties that they may have an advisor of their choice, who may be, but is not required to be, an attorney and may inspect and review evidence.
- The notice must inform the parties of any provision in the Academy's code of conduct that prohibits knowingly making false statements or submitting incorrect information during the grievance process.

#### Procedures for the Investigation of Complaints

The Title IX coordinator or designee may serve as the investigator. Investigators will maintain the presumption of innocence until the investigation is completed.

In response to all complaints, Penrose Academy ensures prompt and equitable resolution through a reliable and impartial investigation of complaints, including the opportunity for both parties to present witnesses, written responses, and other evidence.

The time necessary to investigate will vary based on complexity but will generally be completed within sixty (60) days of receipt of the complaint.

#### Investigation procedural steps:

- Appoint an investigator
- Pre-Investigation gathering of evidence
- Witness interviews
- Delivery of relevant evidence and written responses
- Investigation report

- Questions and answers period
- Findings of facts & credibility determinations.

#### Investigation of Complaints

During the investigation, the Academy will provide interim measures, as necessary, to protect the safety and well-being of employees/students involved.

Reasonable academic accommodations will

be provided during and after the alleged Title IX or VAWA offense. If the Academy determines that unlawful harassment or sexual violence has occurred, immediate and appropriate corrective action will be taken following the circumstances involved. And the Academy will take steps to prevent the recurrence of any harassment or discrimination.

Any employee or student determined by the Academy to be responsible for unlawful harassment or discrimination will be subject to appropriate disciplinary action, including termination.

Reports of sexual violence should be made to "911" or local law enforcement to initiate a criminal investigation. The criminal process is separate from the Academy's disciplinary process. Suppose an employee or contract worker is not satisfied with the Academy's handling of a harassment or discrimination complaint. In that case, they may also contact the appropriate state or federal enforcement agency for legal relief.

#### Confidentiality

Penrose Academy shall maintain confidentiality for all parties to the extent possible; absolute confidentiality cannot be guaranteed. In cases where a student/staff member does not consent to an investigation, Penrose Academy will weigh the student/staff

member's request for confidentiality against the impact on the Academy to determine whether an investigation must proceed safely.

Complainants should be aware that, in a formal investigation, due process requires that the charging party's identity and the complaint's substance be revealed to the person charged with the alleged harassment.

### Interviews and Information Gathering Interviewing Complainant and respondent

- The Complainant and respondent will be interviewed in every case.
- The interview will be preceded by written notice that gives the parties adequate time to prepare and identifies the interview's date, time, and place.
- Both parties and their advisors may attend the interview.
- Direct exchanges between the parties and advisors are prohibited.
- The investigator will create a written summary of the interviews as soon as practicable.

# Interviewing witnesses

All identified eyewitnesses will be interviewed. Questioning will cover the allegations, relationship to parties, and the identification of other witnesses and information the parties may not know. Investigators will create a summary of the interview as soon as practicable.

# Gathering of Information

# **Relevant Evidence**

Title IX does not assume investigators will apply the rules of evidence like lawyers in a trial. Instead, investigators will consider information relevant if it makes the existence of an allegation, or a response to an allegation, more or less likely.

#### **Rape-Shield**

A rape shield law is a law that limits the ability to introduce evidence or cross-examine rape complainants about their past sexual behaviors. Information about complainants' sexual behavior or proclivities is irrelevant unless it is about the Complainant's sexual conduct with the respondent to prove consent.

#### **Privileged Information**

Without a party's written consent, the investigator will not seek, access, or rely on privileged information.

# When investigating a formal complaint, the Academy will:

- Ensure that the burden of proof and the burden of gathering evidence sufficient to determine responsibility rests on the Academy and not on the parties.
- Provide an equal opportunity for the parties to present witnesses, including fact and expert witnesses and other inculpatory and exculpatory evidence.
- Not restrict the ability of either party to discuss the allegations under investigation or to gather and present evidence.
- Provide the parties with the same opportunities to have others present during any grievance proceeding, including an advisor of their choice and relevant evidence.
- Provide, to a party whose participation is invited or expected, written notice of the date, location, participants, and purpose of all hearings, investigative interviews, or other meetings, with sufficient time for the party to prepare to participate.
- Provide both parties an equal opportunity to inspect and review any evidence obtained as part of the investigation that is directly related to the allegations raised in a formal complaint. And create an investigative report that fairly summarizes

relevant evidence and, at least ten days before a hearing or other time of determination regarding responsibility, send to each party and the party's advisor if any, the investigative report for their review and written response.

#### **Investigative Report**

The investigator completes the investigative report within sixty (60) days. The Title IX Coordinator may grant an extension for a good cause. The investigative report does not resolve credibility issues but fairly summarizes the relevant evidence and information that may bear on the decision-makers resolution of credibility issues. Credibility determination may be based on various factors, such as ability and capability to observe, consistency with other known facts, consistency over time, relationship to parties, and others.

# The investigative report contains the following documents:

- Cover letter
- Investigative report
- Witness summaries
- Respondent summaries
- Complainant summaries
- Other Relevant Information

#### **Delivery of Investigative Report**

The investigator will simultaneously deliver the investigative report to the Title IX coordinator and the parties.

#### Parties Questions and Responses

After receiving the investigative report, the parties may submit written questions to the opposite party or witnesses and file a response within the time frame permitted by the investigator. The investigator will submit written questions and respond to each party. If the investigator declines to submit a question, they must notify the party who submitted it with an explanation.

Determination Regarding Responsibility The decision-maker(s), who cannot be the same person(s) as the Title IX Coordinator or the investigator(s), must issue a written determination regarding responsibility. The decision-maker reviews all the information collected during the investigation and concludes that the respondent is or is not responsible for violating Title IX.

The decision-maker may not make credibility determinations based on a person's status as a complainant or respondent. The decisionmakers report will be delivered to the parties and the Title IX coordinator.

The Determination Report will be given to both parties simultaneously and will contain the following components:

- Complainant's allegations.
- A description of the procedural steps taken from the receipt of the formal complaint through the determination.
- Site visits or other methods used to gather evidence.
- Findings of fact supporting the determination.
- Penrose Academy's Title IX and Student Code of Conduct Policies.
- A statement and rationale for the result as to each allegation, including determinations of responsibility, disciplinary sanctions, and whether the Complainant will be provided remedies to restore or preserve their equal access to the Academy's education programs and activities.
- The procedure and basis for appeal.

#### Standard of Proof

The standard of evidence may be the preponderance of the evidence or clear and

convincing evidence. Proof by a majority of the evidence means the evidence on one side of a question is more convincing than the evidence on the other. This concept is distinguished from the quantity of evidence. Clear and convincing evidence is a higher standard of proof. Evidence is clear and convincing if the evidence on one side of a question is highly and substantially more provable than the evidence on the other side.

#### The written determination will include:

- Identification of the allegations potentially constituting sexual harassment.
- A description of the procedural steps taken from the receipt of the formal complaint through the determination.
- Findings of fact supporting the determination.
- Conclusions regarding applying the Academy's code of conduct to the facts.
- A statement of and rationale for each allegation's result. And the Academy's procedures and permissible bases for the Complainant and respondent to appeal.

The Academy will provide the written determination to the parties simultaneously. The Title IX Coordinator is responsible for the effective implementation of any remedies.

#### Appeals

Penrose Academy will offer both parties an appeal from a determination regarding responsibility, and a recipient's dismissal of a formal complaint or any allegations therein, on the following bases:

- Procedural irregularity that affected the outcome of the matter.
- New evidence that was not reasonably available at the time of the determination regarding responsibility or dismissal could affect the matter's outcome.

• Bias or conflict of interest on the part of the investigator or decision-maker.

Both the Complainant and the respondent have thirty (30) days to determine to file an appeal.

#### **Resolving Appeals**

Penrose Academy will assign an appellate officer to review the appeal and determine whether the request implicates one or more of the bases for appeal. If not, the appeal will be denied. If so, the appellate officer will review the entire record and decide whether to grant the request in whole or part. The appellate officer can affirm or reverse the decision, in whole or part, and if necessary, remand the decision to the investigator or decision-maker for further action. Appeal decisions are not final until the appeal process is over or the thirty days for taking an appeal have passed with no request.

#### Hearings Procedures for the Title IX Sexual Harassment and Related Conduct Policy

These procedures shall govern the hearing process for the formal resolution of formal complaints of sexual harassment, as outlined in the Academy's Title IX Sexual Harassment and Related Conduct Policy. The parties to each formal complaint have the right to a hearing after the Academy's investigation in cases where the parties do not elect an alternative resolution and the formal complaint is not dismissed.

#### Pre-Hearing Steps Selection of Hearing Officer

- The hearing will be presided over by a hearing officer selected by the Academy.
- The hearing officer will receive annual training regarding the Academy's policies and procedures, handling Title IX cases,

how to conduct a hearing and issues of relevance. In addition, training includes when questions and evidence about the Complainant's sexual predisposition or prior sexual behavior are not relevant and how to serve impartially by, among other things, avoiding prejudgment of the facts at issue, conflicts of interest, and bias; and other relevant matters. The hearing officer will also be trained on any technology used during a hearing.

The hearing officer will be impartial and free from bias or conflict of interest. The Title IX Coordinator will provide the parties with the hearing officer's name no later than five business days before the hearing. Objections to the hearing officer must be raised in writing, detailing the rationale for the objections. They must be submitted to the Title IX coordinator as soon as possible and no later than two business days before the hearing. In addition, the Title IX coordinator will give the hearing officer a list of the names of all parties, witnesses, and advisors before the hearing. The hearing officer will notify the Title IX coordinator of potential bias or conflict of interest.

#### Notice of Hearing

The Complainant and respondent will be notified in writing of the date, time, and location of the hearing; the charges to be reviewed by the hearing officer, including the date, time, place, and essential factual allegations concerning the violation; and the provisions of the policy alleged to have been violated. Generally, the respondent and Complainant will be provided the notice of hearing at least ten (10) business days before the hearing date.

#### Witnesses

The hearing officer will identify witnesses they wish to hear from at the hearing based on a

review of the final investigative report. The Complainant and respondent may each request the presence of any additional witnesses at the hearing, which will be determined based on relevance by the hearing officer.

The Academy cannot compel the attendance of any witness. Only witnesses identified and interviewed as part of the investigation may be called at the hearing. Under minimal circumstances, the Complainant, respondent, or hearing officer may identify a witness with relevant information who has not previously been interviewed. In such a case, the hearing officer will determine whether the new witness' participation at the hearing is relevant and appropriate under the circumstances and, if so, may allow the witness to participate in the hearing or refer the matter to the investigator for additional investigation.

#### Identification of Hearing Advisor

Parties may have one advisor for all matters leading up to a hearing and a different advisor for the hearing, but no more than one advisor per party may attend the hearing. Irrespective of whether a party plans to change advisors for the hearing or retain the same advisor, all parties must inform the Title IX coordinator at least two business days before the hearing who the party's advisor at the hearing will be and whether that person is an attorney. If a party does not have an advisor for the hearing, the Title IX coordinator will appoint an advisor for the hearing. Selected advisors will be, at no cost to the party, to ask cross-examination questions on behalf of the party.

#### **Pre-Hearing Procedures**

The hearing officer or Title IX coordinator may establish additional pre-hearing procedures relating to scheduling, hearing procedures, structure, advance determination of the relevance of specific topics, and other

procedural matters. The hearing officer will communicate with the parties about these issues before the hearing and establish reasonable, equitable party participation/input deadlines.

- The hearing officer may invite the parties to submit the questions or topics they wish to ask or discuss at the hearing so that the hearing officer can rule on their relevance ahead of time. This advance review opportunity does not preclude advisors from asking a question for the first time at the hearing or asking for a reconsideration based on any new information or testimony.
- In advance of the hearing, the hearing officer will consider any argument by a party that evidence identified as relevant in the final investigative report is not, in the party's view, relevant. Similarly, evidence identified as directly related but not appropriate by the investigator(s) may be argued to be relevant.
- The hearing officer may rule on these arguments pre-hearing and share those rulings with the parties to prepare for the hearing. In addition, the hearing officer may consult with the Title IX coordinator to make these determinations before the hearing.

#### **Request to Postpone Hearing**

Permission to postpone a hearing may be granted provided that the request to do so is based on a compelling emergency and, where possible, such request is provided to the hearing officer and Title IX coordinator at least 48 hours before the time of the hearing.

#### Timing

A hearing will be scheduled for at least ten business days after the final investigative report is provided to the parties; typically, a hearing will be held within 15 business days from the date the final investigative information was provided. However, this timeframe may be extended for good cause as the policy offers; if granted, the reason for the extension will be shared with the parties in writing.

### Hearing Guidelines Decorum

The hearing officer has broad discretion over matters of decorum at the hearing, including the authority to excuse participants who are unwilling to observe rules of etiquette from the hearing process. Suppose a party's advisor does not abide by the Academy's rules of decorum (including, but not limited to, those listed below). In that case, the advisor may be subject to removal, and the Academy will appoint a new advisor for a party for the remainder of the hearing.

- The parties and their advisors will always remain seated during the hearing, including during cross-examination.
- The following behaviors will not be tolerated during the hearing: yelling, verbal abuse, disruptive behavior, interrupting or talking over one another, name-calling, or using profane or vulgar language (except where such language is relevant).
- Any participant in the hearing who is not currently involved in questioning should refrain from disrupting the hearing, making gestures, facial expressions, audible comments, or the like as manifestations of approval or disapproval during any testimony.
- When cross-examining a party or witness, advisors shall not repeat, characterize, express an opinion about, editorialize, or otherwise state any response to the answer given by the party or witness except to ask a follow-up question to elicit relevant evidence.

#### Advisors

While the advisor may be present, the advisor may not speak or otherwise participate in the hearing except for conducting crossexamination when directed by the hearing officer. Other than cross-examination, the advisor may not address the hearing officer and must conduct themselves in a manner that is not disruptive to the hearing or meetings.

#### Presence and Participation at Hearing

The Complainant or respondent is not required to participate in person for the hearing to proceed.

A complainant or respondent may request alternative testimony options that would not require physical proximity to the other party, including testifying via a remote electronic method. This request should be made no fewer than five business days before the hearing.

- If the respondent or Complainant is not in attendance despite being notified of the hearing's date, time, and location, the hearing will proceed. In doing so, the hearing officer will consider the available testimony and evidence. In the absence of clear evidence that emergency circumstances beyond the Complainant's control or respondent prevented the such person from being present, the final determination of the hearing officer will stand.
- If a party or witness elects not to participate in the live hearing or participates in the hearing but refuses to answer questions posed by a party through their advisor, the hearing officer will not rely on any statement of the nonparticipating party or witness in reaching a determination regarding responsibility. Suppose a party or witness participates in the hearing and answers questions posed

by a party through their advisor but refuses to answer questions posed by the hearing officer. In that case, the hearing officer can still rely on that party's or witness's statements when determining.

- "Statements" or purposes of this section means factual assertions made by a party or witness that do not themselves constitute the alleged harassment. Statements might include accurate claims made during an interview or conversation, written by the individual making the assertions (including those found in a Formal Complaint), and memorialized in the writing of another (e.g., in an investigative report, police report, or medical record). Where evidence involves intertwined statements of both parties (e.g., a text message exchange or an email thread) and one party refuses to participate in the hearing or submit to questioning about the evidence. In contrast, the other does participate and answers questions. The statements of only the participating party may be relied on by the hearing officer.
- If a party does not appear for the hearing, their advisor may still occur for crossexamination of the other party and witnesses. Suppose the non-participating party's selected advisor also does not appear for the hearing. The Academy will appoint an advisor to participate in the hearing for cross-examination of the other party on behalf of the non-participating party.

#### **Hearing Format**

The hearing officer has broad discretion to designate the hearing format. Subject to the discretion of the hearing officer, hearings will ordinarily begin with introductory remarks by the hearing officer, followed by the hearing officer asking relevant initial questions of the

parties as deemed appropriate. During this portion of the hearing, an advisor may confer privately and in a non-disruptive manner with their advisee. However, they are not allowed to make opening statements or address the hearing officer or anyone else at the hearing. After the hearing officer has asked their initial questions of the parties, the hearing officer will permit each party's advisor to ask the other party relevant questions and follow-up questions. The hearing officer may ask followup questions as necessary. Subject to the discretion of the hearing officer, questioning of witnesses will generally follow a similar process. Such cross-examination of the parties and witnesses by advisors will be conducted directly, orally, and in real-time by the party's advisor of choice and never by the party personally.

#### Questioning at the Hearing

The parties' advisors, and not the parties themselves, will be permitted to ask the other party and any witnesses relevant and follow-up questions. The advisor will conduct the questioning directly, orally, and in real-time. Suppose a party does not have an advisor present at the hearing. In that case, the Academy will provide, without fee, an advisor of the Academy's choice to conduct a crossexamination on behalf of that party.

#### Relevance

Only a party or witness may ask relevant crossexamination and other questions. Before a complainant, respondent, or witness answers a cross-examination or further inquiry. The hearing officer will first determine whether the question is relevant and explain any decision to exclude a question as not applicable. Advisors are not permitted to object to the hearing officer's findings regarding relevance during a hearing. In general, the hearing officer will not consider statements of opinion or views on any party's public reputation for any character trait as relevant.

#### Prior Sexual History or Disposition

Questions about the Complainant's sexual predisposition or prior sexual behavior are irrelevant unless such questions are intended to elicit evidence that someone other than the respondent committed the alleged conduct. Specific previous sexual behavior with the respondent may be relevant to establishing consent.

#### **Hearing Record**

The hearing is closed to the public. However, the Complainant and the respondent can have one advisor of their choice present throughout the hearing. The Academy shall keep a transcript or audio recording of the hearing. Any other recording is prohibited. No camera, T.V., or other equipment, including cellphones, will be permitted in the hearing room except as arranged by the Academy. The parties may inspect and review the transcript or audio recording after completion.

#### Post-Hearing Process Determination Regarding Responsibility

After the hearing, the hearing officer will make a finding by the preponderance of the evidence as to whether the respondent(s) violated the policy and create the written notice of outcome as outlined below.

#### **Disciplinary Authority**

Suppose the hearing officer determines that the respondent is responsible for violating the policy. In that case, the hearing officer will refer the matter to the appropriate disciplinary authority, who will determine the proper remedies and sanction(s) to be imposed.

The disciplinary authority is typically the academy administrator with appointing or other control over the respondent as follows:

- For student respondents, the disciplinary authority is the President or designee.
- For staff respondents, the disciplinary authority is the President or designee, who may consult with the respondent's direct supervisor.
- For a respondent who is both a student and employee, the disciplinary authority is the President, Owner, and Chair or a designee if the respondent's prior status is an enrolled student. Such a respondent may be subject to sanctions applicable to students and employees.
- For faculty respondents, the disciplinary authority is the Director of Education or the President, who may consult with the Owner and Chair.

In determining the appropriate sanction(s) and remedies, the disciplinary authority considers several factors, including:

- The nature of the conduct at issue.
- The impact of the conduct on the Complainant.
- The impact on, or implications of the conduct for, the Academy's community.
- If such information is available and known, prior misconduct by the respondent, including the respondent's relevant previous discipline history, both at the Academy or elsewhere, and any criminal convictions.
- Any expression of remorse or acceptance of responsibility by a respondent.
- Maintenance of a safe and respectful environment conducive to learning.
- Protection of the Academy's community.
- The necessity of any specific action to eliminate the Sexual Harassment, prevent its recurrence and remedy its effects on the complainant or other academy

community members, and any mitigating, aggravating, or compelling circumstances to reach a just and appropriate resolution in each case.

- The disciplinary authority will also consider restorative outcomes that evaluate the safety of the Academy's community, allow a respondent to develop insight about their responsibility for the behavior, learn about the impact of the conduct on the
- Complainant and the community, identify how to prevent or change the behavior.

A combination of sanctions may be issued:

 The disciplinary authority will provide the hearing officer with a description of any disciplinary sanctions the Academy imposes on the respondent and whether remedies designed to restore or preserve equal access to the Academy's education programs or activities will be provided by the Academy to the Complainant, as applicable.

### Written Notice of Outcome

After the hearing, the hearing officer will create a written notice of outcome that will include the following:

- 1. Identification of the allegations potentially constituting sexual harassment.
- 2. A description of the procedural steps from receiving the formal complaint through the determination, including any notifications to the parties, interviews with parties and witnesses, site visits, methods used to gather other evidence, and hearings.
- 3. Findings of facts supporting the determination.
- 4. Conclusions regarding the application of this policy to the facts.

- 5. A statement of, and rationale for, the result of each allegation, including a determination regarding responsibility.
- Description of any sanctions imposed on the respondent and whether remedies designed to restore or preserve equal access to the Academy's education Programs and Activities will be provided to the Complainant; and
- 7. Information about the appeal process.

Regardless of their participation in the Formal Resolution process, the Title IX coordinator will provide the Complainant and respondent the written notice of outcome issued by the hearing officer simultaneously.

Remedial measures and sanctions will not be imposed until any appeal process in the policy is final.

### Informal Resolution (I.R.)

As an option to resolve a complaint of discrimination or harassment under Title IX, the parties may request to attempt an Informal Resolution ("I.R."). I.R. is a voluntary resolution process that may be pursued after filing a formal complaint that uses alternative dispute resolution mechanisms, such as mediation, facilitated dialogue, alternative resolution, or administrative resolution.

• Complainant and the respondent must agree to pursue I.R., and this agreement must be voluntary, informed, and in writing.

- Neither Complainant nor the respondent is required to engage in I.R. as a condition of enrollment, continuing enrollment, or enjoyment of any other right.
- At any time before the completion of the I.R. process, the Complainant and respondent may withdraw from I.R., and the complaint will/may be addressed through investigation and the formal resolution process per the applicable policy.

- I.R. is never available to resolve allegations that an employee sexually harassed a student.
- The Complainant and respondent may have an advisor of their choice attend any meetings as part of the I.R. as a support person. The parties, however, are responsible for presenting their information, and advisors are not permitted to cross-examine or question any party or to participate directly in the I.R. process.
- The content of discussions held as part of the I.R. process will be kept confidential and cannot be introduced as evidence in any formal resolution process should I.R. be unsuccessful.

The decision by the Complainant or respondent to participate, refuse to participate, or request to end I.R. and return to the investigation or resolve the complaint using the formal resolution process will not be a factor in any determination made by the decision-maker(s) as to whether a policy violation occurred.

The Complainant and respondent can obtain a request for an I.R. application via the Penrose app and receive a hard copy from the Title IX coordinator. Once a bid has been received, the request will be shared with the other party, who may choose to move forward with I.R. or decline to do so. Both parties must consent to I.R. by submitting the online form before any attempts at I.R.

Parties may attempt more than one form of I.R. For example, if an attempt is made to participate in facilitated dialogue. Still, the results are not satisfactory to both parties. The Complainant or respondent may request a transition to formal mediation. If both parties agree, an attempt at formal mediation will be made.

Once the I.R. has been finalized, the terms of the agreement are binding on both parties, and failure to abide by the terms of the resolution may be referred to the appropriate academy process for review and possible corrective action or sanction(s).

### Types of Informal Resolution Facilitated Dialogue

This form of I.R. is most appropriate when both parties are comfortable with direct interaction and management of the discussion but prefer the presence of a neutral third party. The process typically begins with a brief conversation between the facilitator and each party to assess the situation. Once these conversations have occurred, the facilitator schedules a meeting with the parties. During this meeting, the Complainant and respondent participate in a facilitated discussion with the purpose being to develop a shared agreement regarding how to correct the harm perceived or realized by the Complainant. The Complainant and respondent work together to create an agreement that resolves the issue and repairs relationships damaged by the conduct. The neutral facilitator acts as a buffer should emotions run high or the parties need assistance in maintaining focus on the primary issue(s). The facilitator may suggest breaks or interject comments/questions designed to redirect dialogue productively.

### **Formal Mediation**

This form of I.R. is most appropriate when the parties are unlikely to solve without support. Mediation involves a neutral mediator who seeks to improve the parties' relationship by assisting them in understanding one another, introducing possible solutions to the problem, and making suggestions for improved communication.

**Step 1:** Mediator schedules private meetings with each party individually; guidelines for mediation will be presented to both parties. Step 2: The mediator assesses the appropriateness of mediation as a conflict resolution technique and, if appropriate, schedules a joint meeting with all parties. Step 3: A meeting is held to allow parties to share their views without interruption, followed by an interactive mediator-guided discussion to determine a resolution, if possible. Step 4: If a resolution is reached between the parties, each party will review and sign a binding resolution agreement, which will outline the terms of the solution and expectations of the parties moving forward.

### **Alternative Resolution**

Alternative options to facilitated dialogue and formal mediation include the following:

### Shuttle Diplomacy

The crafting of an agreement does not require the Complainant and respondent to participate in an in-person meeting. Instead, a member of the Title IX team will work with the parties individually to create an agreement that satisfies both parties.

### Acceptance of Responsibility

The respondent can choose to take responsibility for the alleged policy violation(s). When this path is selected, the Title IX coordinator and President work directly with the respondent to determine corrective action(s) and sanctions. The complainant and respondent must agree to this form of I.R., and the parties must also agree to the planned sanctions before I.R.

### **Voluntary Permanent Separation**

The respondent can choose to separate from the Academy voluntarily. If the Title IX team accepts this I.R., the respondent must sign a Voluntary Permanent Separation and General

Release Agreement to terminate the complaint resolution process.

### Administrative Resolution

In an administrative resolution, the decisionmaker will meet separately with the Complainant and the respondent to discuss the allegations. Both parties may have an advisor present for these meetings. The respondent and Complainant may provide a list of questions for the decision-maker to ask the other party. If questions are considered appropriate and relevant by the decisionmaker, they may be requested on behalf of the requesting party. In addition, answers to questions will be shared with the requesting party.

The decision-maker will also consider the evidence provided by the investigator, including the investigative report and exhibits. Once the decision-maker has deliberated, they will decide whether the respondent has violated the Academy's policy. Once issued, this determination is binding on both parties. Before the Complainant and respondent can choose this option, both parties must acknowledge that by pursuing an administrative resolution. And they are waiving their rights to a formal solution by a hearing panel unless they choose to end the I.R. process before the decision-makers determination is issued.

The decision maker's determination will be based on the "preponderance" standard of evidence. Ultimately, a decision-maker is balancing probabilities or determining whether it was more likely than not that the alleged conduct occurred. This standard of proof is known as a "preponderance." It only requires that one party can support their position with evidence and credible testimony, "a feather" more than the other. A way to think about the preponderance standard is to picture two people holding shopping bags equal in weight. And each party's supporting evidence and testimony are contained separately in one of the bags. At this point, the parties are balanced at a 50/50 probability. Then, as part of the meeting with the decision-maker, questions are asked that were submitted by the other party.

The information provided in the response is relevant but only slightly crucial to the determination, contributing no more weight to one party's bag than a feather. However, once the information is added, the balance shifts, and the bags are no longer equal. The decision-maker bases their determination on this shift, caused by one piece of evidence or testimony that weighed just a feather but tipped the finding to "more likely than not" favor one party over the other.

The decision-maker has the discretion to determine the relevance of any witness or documentary evidence and may exclude information that is irrelevant, immaterial, cumulative, or more prejudicial than informative.

### **Possible Sanctions**

The sanction of expulsion is not available under an administrative resolution.

### **Record Keeping**

Penrose Academy will maintain records of sexual harassment and other violations of Title IX for seven years. Documents will include investigation records, disciplinary sanctions, remedies, appeals, supportive measures, documentation for why a response was not deliberately indifferent, and measures taken to restore or preserve equal access to educational programs or activities.

### Penrose Academy 2022 Annual Safety and Security Report Penrose Academy will maintain for a period of seven years records of:

- Each sexual harassment investigation includes any determination regarding responsibility, audio or audiovisual recording or transcript required, disciplinary sanctions imposed on the respondent, and any remedies provided.
- Any appeal and the result from there.
- Any informal resolution and the result from there.
- In response to receipt of actual knowledge of sexual harassment, the Academy will create and maintain, for seven years, records of any actions, including any supportive measures taken in response to a report or formal complaint of sexual Reporting Requirements.

Victims of sexual misconduct should be aware that school administrators must issue timely warnings for incidents reported to them that pose a substantial threat of bodily harm or danger to other campus community members.

In addition, Penrose Academy will make every effort to ensure that a victim's name and additional identifying information are not disclosed while still providing enough information for community members to make safety decisions considering the danger.

### **Additional Requirements**

The U.S. Department of Education Office for Civil Rights ("OCR") investigates complaints of unlawful harassment of students in educational programs or activities. This agency may serve as a neutral fact finder and will attempt to facilitate the voluntary resolution of disputes with the parties. For more information, visit the OCR website at: <u>www.HHS.gov</u>.

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# 2022 Campus Safety and Security Survey

Institution: (460914001)

User ID: C4609141

## **Screening Questions**

Please answer these questions carefully. The answers you provide will determine which screens you will be asked to complete for this data collection.

### 1. Does your institution provide On-campus Student Housing Facilities?

- No.
- Yes. (If Yes is selected, you must enter the number of student housing facilities below and enter Fire Statistics for each facility.)

Number of On-campus Student Housing Facilities:

### 2. Does your institution have any noncampus buildings or properties?

<b>V</b> Yes
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• No

3. Have you combined statistics that you received from the local or state police with your institution statistics for this report? If you answer No to this question, you will be asked to provide the data you received from the local and state police separately.

$oldsymbol{O}$	Yes. Local and/or state law enforcement agencies provided us with statistics
	that we are combining with statistics collected by our campus security
	authorities.

0	No. We are not combining the statistics because we cannot determine
	whether the statistics we obtained from local and/or state law enforcement
	agencies are for on-campus incidents or public property incidents.

Ο	Not available. We cannot determine if the statistics we obtained from local
	and/or state law enforcement agencies are for our Clery geography.

O Not available. We made a good-faith effort to obtain statistics from loca and/or state law enforcement agencies, but the agencies did not compl		
	our request.	40

## **Criminal Offenses - On campus**

For each of the following criminal offenses, enter the number reported to have occurred On Campus.

Criminal offense	Total occurrences On campus		
	2019	2020	2021
a. <u>Murder/Non-neglig</u> ent <u>mansl</u> aughter	0	0	0
b. Manslaughter b <u>y</u> Ne <u>gligence</u>	0	0	0
c. Ra <u>p</u> e		0	0
d. Fondling	0	0	0
e. <u>Incest</u>		0	0
f. Statutor <u>y rape</u>		0	0
g. Robbery	0	0	0
h. <u>Agg</u> ravated ass <u>ault</u>	0	0	0
i. Burglary	0	0	0
j. <u>Motor ve</u> hicle thef <u>t</u> (Do not include theft <i>from</i> a motor vehicle)	0	0	0
k. Arson	0	0	0

**Caveat:** 

If you have changed prior years' data, you must add a caveat explaining the change. Use the following format: "For (YEAR), Line (X) was changed from (A) to (B) because (REASON)."

## **Criminal Offenses - Public Property**

For each of the following criminal offenses, enter the number reported to have occurred on Public Property.

Criminal offense	Total occurrences on Public Property					
	2019	2020	2021			
a. <u>Murder/N</u> on-n <u>egli</u> gent m <u>anslaughter</u>	0	0	0			
b. Manslaughter by Negligence	0	0	0			
c. Ra <u>pe</u>	0	0	0			
d. <u>Fondling</u>	0	0	0			
e. Incest	0	0	0			
f. <u>Statu</u> to <u>ry</u> ra <u>p</u> e	0	0	0			
g. <u>Robbery</u>	0	0	0			
h. <u>Aggravated</u> a <u>ssault</u>	0	0	0			
i. Burglary	0	0	0			
j. Mot <u>or vehicle theft</u> (Do not include theft <i>from</i> a motor vehicle)	0	0	0			

0





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### Caveat:

If you have changed prior years' data, you must add a caveat explaining the change. Use the following format: "For (YEAR), Line (X) was changed from (A) to (B) because (REASON)."

### Hate Crimes - On campus

For the criminal offenses listed below, first enter the total number of Hate Crimes that were reported to have occurred On campus. Then break down each total by category of bias (e.g., race, religion).

### YEAR 2021

Criminal offense	Total	ccurrences of Hate crimes (Category of Bias for crime	es)
	Race	eligion Sexual Gender Gender Disability Ethnic orientation Identity	city National Origin
a. Murder <u>/ Non-negligent</u> manslaughter	0 0	0 0 0 0 0	0 0
c. <u>Rape</u>	0 0		0 0
d. Fondling	0 0		0 0
e. Incest	0 0		0 0
f. Statutor <u>y</u> ra <u>pe</u>	0 0		0 0
g. Robber <u>y</u>	0 0		0 0

### 2022 Campus Safety and Security Survey

h. Aggravated assault	
i. <u>Burglary</u>	
j. <u>Motor vehi</u> cle t <u>h</u> eft (Do not include theft from a motor vehicle)	
k. Arson	
l. Sim <u>p</u> le assault	
m. Larceny-theft	
n. Intimidation	
o. Destruction/damag <u>e/</u> vandalism of <u>p</u> ro <u>p</u> erty	

### **YEAR 2020**

Criminal offense	Total	Occurren	ces of Hat	e crimes (	(Category		r crimes)	
	Race	Religion	Sexual orientatio	Gender n	Gender Identity	Disability	Ethnicity	National Origin
a. Murder/ Non-neg <u>ligent</u> manslaughter	0 0	0	0	0	0	0	0	0
c. <u>Rape</u>	0 0	0	0	0	0	0	0	0
d. Fondling	0 0	0	0	0	0	0	0	0
e. <u>Incest</u>	0 0	0	0	0	0	0	0	0

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f. Statutor <u>y</u> ra <u>p</u> e	0 0	0		0	0 0	0
g. Robber <u>y</u>		0	0 0	0	0 0	0
h. <u>Agg</u> ravated assault	0 0	0	0 0	0	0 0	0
i. Burglar <u>y</u>						
j. Motor vehicle theft (Do not inc!ude theft from a motor vehicle)	0 0	0	0 0	0		0
k. <u>Arson</u>		0	0 0	0	0 0	
l. <u>Sim</u> ple assault	0 0	0	0 0	0		0
m. Larcen <u>y</u> -theft	0 0	0	0 0	0		0
n. Intimidation	0 0	0	0 0	0	0 0	0
o. Destruction <u>d</u> amage/ vandalism of <u>p</u> ro <u>p</u> ert <u>y</u>	0 0	0		0	0 0	0
YEAR 2019						
Criminal offense	Total	Occurrer	nces of Hate crimes	(Category	of Bias for crimes)	
	Race	Religion	Sexual Gender orientation	Gender Identity	Disability Ethnicity	y National Origin
a. Murder <u>N</u> on-negligent mans <u>l</u> aughter	0 0	0	0 0	0	0 0	0
c. <u>Rape</u>	0 0	0	0 0	0	0 0	0

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d. Fondling	
e. <u>Incest</u>	
f. <u>Statutory rape</u>	
g. Robber <u>y</u>	
h. <u>Aggravat</u> e <u>d</u> assault	
i. <u>Burglary</u>	
j. <u>Motor vehicle theft</u>	
k. Arson	
l. Sim <u>p</u> le assa <u>ult</u>	
m. Larcen <u>y</u> -theft	
n. Intimidation	
o. <u>Destruction/damage/</u>	

vandalism of property

### Caveat:

If you have changed prior years' data, you must add a caveat explaining the change. Use the following format: "For (YEAR), Line (X) was changed from (A) to (B) because (REASON)."

## Hate Crimes - Public Property

For the criminal offenses listed below, first enter the total number of <u>Hate Crimes</u> that were reported to have occurred on <u>Public Property</u>. Then break down each total by category of bias (e.g., race, religion).

### YEAR 2021

Criminal offense	Total	I Occurrences of Hate crimes (Category of Bias for crimes)					
		Race	Religion	Sexual Gender orientation	Gender Identity	Disability Ethnicity	National Origin
a. <u>Murder/ Non-negligent</u> mans <u>l</u> aughter	0	0	0	0 0	0		0
c. <u>Rape</u>	0	0	0	0 0	0	0 0	0
d. <u>Fondling</u>	0	0	0	0 0	0	0 0	0
e. <u>Incest</u>	0	0	0		0	0 0	0
f. <u>Statutory rape</u>	0	0	0	0 0	0	0 0	0
g. <u>Robbery</u>	0	0	0	0 0	0	0 0	0
h. <u>Aggravated assault</u>	0	0	0	0 0	0	0 0	0
i. <u>Burglary</u>	0	0	0	0 0	0		0
j. <u>Motor vehicle theft</u> (Do not include theft <i>from</i> a motor vehicle)	0	0	0	0 0	0	0 0	0
k. <u>Arson</u>	0	0	0	0 0	0	0 0	0
I. <u>Simple assault</u>	0	0	0			0 0	0

#### 2022 Campus Safety and Security Survey

m. Larcen <u>y</u> -theft	0	0	0	0	0	0		0 0
n. <u>Int</u> imidati <u>on</u>	0	0	0	0	0	0	0	0 0
o. Destruction/damag <u>e/</u> vandalism of <u>property</u>	0	0	0	0	0	0	0	0 0
YEAR 2020 Criminal offense	Total		Occurren	ces of Hat	te crimes	(Category	of Bias for crim	es)
		Race	Religion	Sexual orientatio	Gender n	Gender Identity	Disability Ethni	icity National Origin
a. Murder/ Non-neg <u>ligent</u> manslaughter	0	0	0	0	0	0	0	0 0
с. <u>Rape</u>	0	0	0	0	0	0	0	0 0
d. Fondling	0	0	0	0	0	0	0	0 0
e. <u>Incest</u>	0	0	0	0	0	0	0	0 0
f. <u>St</u> atuto <u>ry</u> ra <u>p</u> e	0	0	0	0	0	0	0	0 0
g. Robbe <u>ry</u>	0	0	0	0		0		0 0
h. <u>Aggr</u> avated ass <u>ault</u>	0	0	0	0	0	0	0	0 0
i. Burglar <u>y</u>	0	0	0	0	0	0	0	0 0
j. <u>Mo</u> tor vehi <u>c</u> le <u>theft</u> (Do not include theft from a	0		0	0	0	0		0 0

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### motor vehicle)

k. Arson	
I. Sim <u>ple assault</u>	
m. Larcen <u>y</u> -theft	
n. Intimidation	
o. Destru <u>c</u> tion <u>/</u> damag <u>e/</u>	

vandalism of <u>p</u>ro<u>p</u>ert<u>y</u>

### YEAR 2019

Criminal offense	Total	Occurrences of Hate crimes (Category of Bias for crimes)					
	Race	Religion Sexual Gender Gender Disability Ethnic orientation Identity	ity National Origin				
a. Murder <u>/</u> Non-n <u>eglig</u> ent mans <u>l</u> aughter	0 0		0				
c. Ra <u>p</u> e	0 0						
d. Fondling	0 0						
e. <u>I</u> ncest	0 0						
f. Statutory rape	0 0						
g. Robber <u>y</u>	0 0						
h. <u>Agg</u> ravated assault	0 0						

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i. Burglar <u>y</u>	
j. Motor vehicle theft	
k. Ar <u>s</u> on	
l. <u>Simp</u> le assault	
m. L <u>a</u> rcen <u>y-theft</u>	
n. Intimidation	
o. <u>Destruction/damage/</u> vandalism of property	

### Caveat:

If you have changed prior years' data, you must add a caveat explaining the change. Use the following format: "For (YEAR), Line (X) was changed from (A) to (B) because (REASON)."

## VAWA Offenses - On Campus

For each of the following crimes, enter the number reported to have occurred <u>On Campus</u>.

Crime	Total occurences On Campus				
	2019		2020	2021	
a. Domestic violence		0	0	0	
b. D <u>at</u> ing vi <u>o</u> le <u>n</u> ce		0) [	0)	0	
	50				

0

0



0

### **Caveat:**

If you have changed prior years' data, you must add a caveat explaining the change. Use the following format: "For (YEAR), Line (X) was changed from (A) to (B) because (REASON)."

### **VAWA Offenses - Public Property**

For each of the following crimes, enter the number reported to have occurred on Public Property.

Crime	Total occurences on Public Property				
	2019	2020	2	2021	
a. Domestic violen <u>ce</u>		0) [	0) [	0	
b. Dating violence		0) [	0 [	0	
c. Stalking		0) [	0) [	0	

### Caveat:

If you have changed prior years' data, you must add a caveat explaining the change. Use the following format: "For (YEAR), Line (X) was changed from (A) to (B) because (REASON)."

## Arrests - On campus

Enter the number of Arrests for each of the following crimes that occurred On Campus.

Crime	Number of Arrests				
	2019	2020	2021		
a. Wea <u>p</u> ons: <u>carry</u> ing <u>, p</u> ossessing <u>, etc.</u>	0		0) [0]		
b. <u>Drug a</u> buse violation <u>s</u>	0		0 0		
c. Liquor law violations	0		0) [ 0]		

**Please Note:** Do NOT include drunkenness or driving under the influence in liquor law violations.

#### Caveat:

If you have changed prior years' data, you must add a caveat explaining the change. Use the following format: "For (YEAR), Line (X) was changed from (A) to (B) because (REASON)."



### **Arrests - Public Property**

Enter the number of Arrests for each of the following crimes that occurred on Public Property.

Crime	Number of Arrests				
	2019	2020	2021		
a. <u>Weapons: carrying, p</u> ossessin <u>g, etc.</u>	0	0	0		

	o
0 0 0	J
0 <b>0</b>	0
	0) ( 0) ( 0) (

Please Note: Do NOT include drunkenness or driving under the influence in liquor law violations.

### Caveat:

If you have changed prior years' data, you must add a caveat explaining the change. Use the following format: "For (YEAR), Line (X) was changed from (A) to (B) because (REASON)."

### **Disciplinary Actions - On Campus**

Enter the number of persons referred for disciplinary\_action for crimes that occurred On Campus for each of the following categories.

Do not include disciplinary actions that were strictly for school policy violations. If the disciplinary action is the result of an arrest, please do not count it here; count the violation as 1 arrest.

Crime	Number of persons referred for Disciplinary Action				
	2019	2 • 2 •	2021		
a. <u>Weap</u> ons: car <u>ry</u> ing <u>, p</u> ossessing, etc.	0	_0)	0		
b. <u>Drug</u> abuse violations	0)[	(	0		
c. <u>Liqu</u> or law viol <u>ations</u>	0	0] (	0		
Please Note: Do NOT include drunkenness or o	driving under the influence in <mark>liq</mark> uor l	aw violations.			

#### Caveat:

If you have changed prior years' data, you must add a caveat explaining the change. Use the following format: "For (YEAR), Line (X) was changed from (A) to (B) because (REASON)."

### **Disciplinary Actions - Public Property**

Enter the number of persons referred for disciplinary action for crimes that occurred on Public Property for each of the following categories.

Do not include disciplinary actions that were strictly for school policy violations.

If the disciplinary action is the result of an arrest, please do not count it here; count the violation as 1 arrest.

Crime	Number of persons referred for Disciplinary Action				
	2019	2020	2021		
a. <u>W</u> eapons: <u>carry</u> ing <u>, possessing, etc.</u>		0	0		
b. <u>Drug abuse violations</u>	0	0) (	0		
c. <u>Liquor law violations</u>	0	0) (	0		
Please Note: Do NOT include drunkenness or driving L	under the influence in liquo	r law violations.			

### Caveat:

If you have changed prior years' data, you must add a caveat explaining the change. Use the following format: "For (YEAR), Line (X) was changed from (A) to (B) because (REASON)."

### **Unfounded Crimes**

Of those crimes that occurred On Campus, in <u>On-campus Student Housing Facilities</u>, on or in <u>Noncampus</u> property or buildings, and on Public Property, enter the number of crimes that were unfounded.

The total number of unfounded crimes should include all criminal offenses, hate crimes, domestic violence, dating violence, or stalking incidents that have been unfounded. Arrests and disciplinary actions cannot be unfounded.

	Number		
	2019	2020	2021
a. <u>Total unfound</u> ed crim <u>es</u>	0	0	

**Please Note:** If a reported crime is investigated by law enforcement authorities and found to be false or baseless, the crime is "unfounded." Only sworn or commissioned law enforcement personnel may unfound a crime.

Count unfounded crimes in the year in which they were originally reported.

#### Caveat:

If you have changed prior years' data, you must add a caveat explaining the change. Use the following format: "For (YEAR), Line (X) was changed from (A) to (B) because (REASON)."

# 2022 Campus Safety and Security Survey

Institution: (460914001)

User ID: C4609141

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## **Fires - Summary**

			2019			2020			2021	
S/N	Name of Facility	Fires	Injuries	Deaths	Fires	Injuries	Deaths	Fires	Injuries	Deaths
	Total									

56



Thank you for your Clery Act request. There were no reported calls for service at the provided address during the time period requested.

Please note that incidents are recorded to the closest address, so any of the Clery reporting indicators occurring on the public property within or immediately adjacent to the address will be included in the counts at the address. Addresses with no incidents will result in a blank page for each categorical grouping.

Apartment numbers, unit numbers, or suite numbers are not always entered in the data; therefore, this report reflects counts based on address information without specifying apartment, unit, or suite numbers.

**To obtain an Incident Report:** If you received a report, the corresponding incident number that is on the report can be used to identify and purchase the Incident Report of interest. If you are interested in requesting Incident Reports, you will need to submit a request to the Phoenix Police Public Records Detail utilizing the Public Records Portal (see below).

**How to submit your request(s) to the Phoenix Police Public Records Portal:** This can be found online at <u>https://phxpublicsafety.dynamics365portals.us/</u>. There may be a minimal fee that can be paid for online. If you have questions regarding the fee or need assistance with the online service, please contact the Phoenix Police Public Records Detail. The Public Records and Services Unit is providing customer service through the online Public Records Portal, phone requests, and in-person requests are **by appointment only**. For over-the-phone customer service you can call 602-262-1885. If you would like to schedule a virtual appointment send an email to policerecords.appts@phoenix.gov. The public window hours of operation are as follows: Monday-Friday: 8:00am-4:00pm, Saturday-Sunday: Closed.

If you have questions regarding this report, you can send an email to <u>CARU@phoenix.gov</u>. If you have an additional request for information, you must submit the additional inquiry as a new request to the Phoenix Police Public Records Detail utilizing the Public Records Portal.





CITY OF PHOENIX POLICE DEPARTMENT Reported Incidents for Select Offenses<sup>^</sup> According to Uniform Crime Reporting (UCR) Criteria Address: 13402 N SCOTTSDALE Date Range: 1/1/2021 - 12/31/2021

<sup>A</sup>Offenses Required by Clery Act: Homicide, Rape, Robbery, Aggravated Assault, Burglary, and Motor Vehicle Theft

CRIME TYPE	DATE	TIME	INCIDENT #	PREMISE
				Total:

Any Part I UCR crimes that do not appear in this report had a count of 0 for the time period indicated.

This report is based on Uniform Crime Reporting (UCR) criteria which are not equivalent to Arizona Revised Statutes (ARS) statistics and therefore this data cannot be directly compared to ARS reports. Under the hierarchy rule of UCR, only the most severe offense of an incident is counted. Part One Property Crimes include motor vehicle theft, burglary, arson, and theft/larceny. Part One Violent Crimes include criminal homicide, rape, robbery, and aggravated assault. Homicides, rapes, and aggravated assaults are counted per victim. Numbers may differ from other published reports due to case updates /reclassifications and/or to differences associated with unfounded incidents. UCR data excludes unfounded cases if the incident and the unfound occurred in the same month. However, if the incident and the unfound occurred in different months, then the removal of the unfounded cases will not be reflected in the data. Any reports with missing or incorrect information would not be properly depicted in this report. Any listed crimes that do not appear in this report had a count of 0 for the time period indicated. Any incident reports with the occurrence date missing will be based on the date of when the crime was reported. Beginning in January 2014, the UCR Rape category includes incidents that meet the updated FBI rape definition. Beginning in January 2016, the UCR Aggravated Assaults category includes incidents that meet the updated FBI rape definition.



#### PHOENIX POLICE DEPARTMENT



CITY OF PHOENIX POLICE DEPARTMENT Adult Arrests for Select Charge Codes<sup>^</sup> Arrest Location: 13402 N SCOTTSDALE Date: 1/1/2021 - 12/31/2021

DATE	TIME	<u>ARREST #</u>	CHARGE TYPE	CHARGE COUNT

TOTAL: 0

<sup>^</sup>Only adult arrests for liquor law violations, drug law violations, weapon possession violations, and stalkings are included in this report. Liquor law violations are queried as Arizona Revised Statutes (ARS) Code like 4-%. Drug law violations are queried as ARS Code like 13-34%. Illegal weapons possession is queried as ARS Code like 13-3102%. Stalking is queried as ARS Code like 13-2923%. Any of these statute codes that do not appear in this report had a count of 0 for the time period indicated.

Arrests still pending entry into the RMS system will not be included. Arrests may have multiple charges. Counts are the number of charges, not number of arrests. Any arrests with missing or incomplete information are not included. Arrest counts are based on Arizona Revised Statutes (ARS) which are not equivalent to Uniform Crime Reporting (UCR) statistics and therefore this data cannot be directly compared to data from other states. Charges included are those that were recorded on adult bookings, and Citations in Lieu of Detention (CLD) documents. Implementation of a new Records Management System (RMS) occurred October 10, 2015; use caution when comparing totals across years.



DATE	TIME	CONTACT #	CHARGE TYPE	CHARGE COUNT
<u></u>	<u></u>			

TOTAL: 0

<sup>^</sup>Only juvenile arrests for liquor law violations, drug law violations, weapon possession violations, and stalkings are included in this report. Liquor law violations are queried as Arizona Revised Statutes (ARS) Code like 4-%. Drug law violations are queried as ARS Code like 13-34%. Illegal weapons possession is queried as ARS Code like 13-3102%. Stalking is queried as ARS Code like 13-2923%. Any of these statute codes that do not appear in this report had a count of 0 for the time period indicated.

Juvenile arrests still pending entry into the RMS system will not be included. Information may change as data is entered and /or updated. Juvenile arrests may have multiple charges. Counts are the number of charges, not number of arrests. Any juvenile arrests with missing or incomplete information may not be properly depicted in this report. Juvenile arrests counts are based on Arizona Revised Statutes (ARS) which are not equivalent to Uniform Crime Reporting (UCR) statistics and therefore this data cannot be directly compared to data from other states. Charges included are those that were recorded on juvenile detentions/referrals, and Citations in Lieu of Detention (CLD) documents. Implementation of a new Records Management System (RMS) occurred October 10, 2015; use caution when comparing totals across years.





CITY OF PHOENIX POLICE DEPARTMENT Incident Reports for Domestic Violence Related Offenses According to Arizona Revised Statutes (ARS) Criteria Address: 13402 N SCOTTSDALE Date Range: 1/1/2021 - 12/31/2021

Date	Range: 1/1/2021 - 12/31/2	:021	
DATE	TIME	INCIDENT #	
TOTAL :		0	

Domestic Violence refers to incidents in which the domestic violence flag was marked "Y" and includes all reported crime types. This report is based on Arizona Revised Statutes (ARS) which are not equivalent to Uniform Crime Reporting (UCR) statistics and therefore this data cannot be directly compared to data from other states or UCR reports. Incident reports still pending entry into the RMS system will not be included. Counts may change as additional reports are approved and/or reclassified. Any incident reports with missing or incomplete information may not be properly depicted in this report. Any incident reports with the occurrence date missing will be based on the date of when the crime was reported. Apartment numbers, unit numbers, or suite numbers are not always entered in the data. Therefore, this report reflects counts based on address information without specifying apartment/unit/suite numbers. This means that any incident at the same street address would be included in the count regardless of the apartment/unit/suite number. Implementation of a new Records Management System (RMS) occurred October 10, 2015; use caution when comparing totals across years.





DATE	TIME	INCIDENT #	
TOTAL :		0	

This report is based solely on Hate Crime Incident Reports received from the Bias Crime Unit and verified according to federal reporting guidelines. Because of the difficulty of ascertaining the offender's subjective motivation, bias is to be reported only if the investigation reveals sufficient information to lead a reasonable and prudent person to conclude that the offender's actions were motivated, in whole or in part, by bias. The mere fact that the offender is biased against the victim's race, religion, disability, sexual orientation, and/or ethnicity/national origin does not mean that a hate crime was involved. Rather, the offender's criminal act must have been motivated, in whole or in part, by his/her bias. Not all Bias Crime incidents are reported to the Bias Crimes Detail. Counts may change as additional reports are finalized and/or reclassified. Any incident reports with missing or incomplete information may not be properly depicted in this report. Any incident reports with the occurrence date missing will be based on the date of when the crime was reported. Apartment numbers, unit numbers, or suite numbers are not always entered in the data. Therefore, this report reflects counts based on address information without specifying apartment/unit/suite numbers. This means that any incident at the same street address would be included in the count regardless of the apartment /unit/suite number. Implementation of a new Records Management System (RMS) occurred October 10, 2015; use caution when comparing totals across years.



#### PHOENIX POLICE DEPARTMENT